

STATE OF CONNECTICUT

DEPARTMENT OF PUBLIC HEALTH

Renée D. Coleman-Mitchell, MPH
Commissioner-Designate



Ned Lamont
Governor
Susan Bysiewicz
Lt. Governor

Healthcare Quality And Safety Branch

April 18, 2019

Julie Troy, Supervisor of Assisted Living Services Agency
Brandywine Assisted Living At Litchfield
19 Constitution Way
Litchfield, CT 06759

Dear Ms. Troy:

An unannounced visit was made to Brandywine Assisted Living At Litchfield on March 13, 2019 by a representative of the Facility Licensing and Investigations Section of the Department of Public Health for the purpose of conducting an investigation.

Attached is the violation of the Regulations of Connecticut State Agencies and/or General Statutes of Connecticut which was noted during the course of the visit.

In accordance with Connecticut General Statutes, section 19a-496, upon a finding of noncompliance with such statutes or regulations, the Department shall issue a written notice of noncompliance to the institution. Not later than ten days after such institution receives a notice of noncompliance, the institution shall submit a plan of correction to the Department in response to the items of noncompliance identified in such notice.

The plan of correction is to be submitted to the Department by May 2, 2019.

The plan of correction shall include:

- (1) The measures that the institution intends to implement or systemic changes that the institution intends to make to prevent a recurrence of each identified issue of noncompliance;
- (2) the date each such corrective measure or change by the institution is effective;
- (3) the institution's plan to monitor its quality assessment and performance improvement functions to ensure that the corrective measure or systemic change is sustained; and
- (4) the title of the institution's staff member that is responsible for ensuring the institution's compliance with its plan of correction.

The plan of correction shall be deemed to be the institution's representation of compliance with the identified state statutes or regulations identified in the department's notice of noncompliance. Any institution that fails to submit a plan of correction to Loan.Nguyen@ct.gov may be subject to disciplinary action.

You may wish to dispute the violation and you may be provided with the opportunity to be heard. If the violation is not responded to by May 2, 2019 or if a request for a meeting is not made by the stipulated date, the violation shall be deemed admitted.



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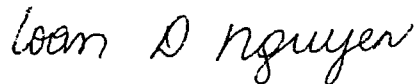


DATE(S) OF VISIT: March 13, 2019

THE FOLLOWING VIOLATION(S) OF THE REGULATIONS OF CONNECTICUT
STATE AGENCIES AND/OR CONNECTICUT GENERAL STATUTES
WERE IDENTIFIED

If there are any questions, please do not hesitate to contact this office at (860) 509-7400.

Respectfully,

A handwritten signature in black ink that reads "Loan D. Nguyen". The signature is written in a cursive, flowing style.

Loan Nguyen M.S.N., R.N., C.
Supervising Nurse Consultant
Facility Licensing and Investigations Section

CT # 23791

DATE(S) OF VISIT: March 13, 2019

THE FOLLOWING VIOLATION(S) OF THE REGULATIONS OF CONNECTICUT
STATE AGENCIES AND/OR CONNECTICUT GENERAL STATUTES
WERE IDENTIFIED

The following is a violation of the Regulations of Connecticut State Agencies Section 19-13-D 105 (e) General requirements for an assisted living agency (1) and/or (f) Personnel policies for an assisted living services agency (2) (C).

1. Based on review of the agency documentation and interview with facility personnel, for one of three personnel files (the file of Licensed Practical Nurse/LPN #1) the Assisted Living Services Agency/ALSA failed to ensure the employee carried a valid license to practice nursing in Connecticut. The findings include:
 - a. Interview and review of the agency documentation with the Business Manager on 3/13/19 at 10:32 AM indicated that LPN # 1's nursing license in Connecticut expired on 4/30/17, that LPN # 1 continued to work for a year after the expiration date, and LPN # 1 claimed no awareness of the expiration date (the practitioner's birthday is used as expiration date each year in Connecticut, regardless of the licensure examination date).
A Reinstatement Consent Order from the Connecticut Department of Public Health dated 8/30/2018 directed LPN # 1 to re-apply for the LPN license.
Interview with the Executive Director on 3/13/19 at 10:30 AM failed to identify a proper system in Human Resources to track and identify expired nursing licenses, to suspend nurses from working and protect the consumers of healthcare.
LPN # 1's nursing license was re-instated and the ALSA authorized LPN # 1 to return to work.

Brandywine Living at
Litchfield
19 Constitution Way
Litchfield, CT 06755

860-567-6749
860-567-2753
jtroy@brandycare.com

5/1/2019

Loan Nguyen M.S.N., R.N., C.
Supervising Nurse Consultant
Facility Licensing and Investigations Section

Dear Ms. Nguyen

I am responding to your letter dated April 18, 2019 with a notification requesting a plan of correction in accordance with a noncompliance finding on March 13, 2019.

Attached is the plan of correction which began on March 15, 2019.

The plan of correction will demonstrate a proper system for the human resource representative to identify upcoming renewals; lapsed nursing licenses will result in immediate suspensions. This plan will rectify the violation of noncompliance according to Connecticut General Statutes, section 19a-496.

The identified LPN license was reinstated on August 30, 2018.

Respectfully,
Julie Troy RN, BSN
Wellness Director
Brandywine Living at Litchfield

accepted
12/24/2019
5/17/19
1140
AM
Julie
Troy

PLAN OF CORRECTION

**MEASURES TO IMPLEMENT CHANGES TO PREVENT A
RECURRENCE OF THE IDENTIFIED ISSUE OF
NONCOMPLIANCE.**

EFFECTIVE MARCH 15, 2019

Julie Troy RN, BSN
May 1, 2019

Plan of Correction

A. The human resource representative will track annual LPN and RN license renewal.

- 1. An excel spreadsheet will be utilized to review License renewal dates.**
- 2. The human resource representative will text or email reminders to notify the Nurses of their upcoming renewal and expiration date.**
- 3. A lapsed license will result in the immediate suspension of the Nurse.**

B. The human resource representative will create a paper file for each nurse, which will include a copy of their updated license or a downloaded confirmation from the state licensing board until the copy of the current license is received.

C. A Quality Insurance Review will be conducted quarterly by the director of wellness and the human resource representative, and annually by corporate personnel to review nurse files for licensure compliance.