AHCA
FLORIDA AGENCY FOR HEALTH CARE ADMINISTRATION

RICK SCOTT
GOVERNOR

ELIZABETH DUDEK
SECRETARY

, 2014

Administrator
Sweetwater at Duck Pond ALF, LLC
207 Ne 7th St
Gainesville, FL 32601

Dear Administrator:

This letter reports the findings of a state licensure survey that was conducted on , 2014 by a representative of this office.

Enclosed is the provider's copy of the State (5000-3547) Form, which indicates the deficiencies that were identified on the day of the visit. Section 408.811(4), Florida Statutes, requires that you correct these deficiencies within thirty days of the date of this letter unless the Agency has approved another timeframe. Staff from this office will conduct a review after , 2014 to verify that the necessary corrections are in place to correct the deficiencies identified on your survey.

The Quality Assurance Questionnaire has long been employed to obtain your feedback following survey activity. This form has been placed on the Agency's website at http://ahca.myflorida.com/Publications/Forms.shtml as a first step in providing a web-based interactive consumer satisfaction survey system. You may access the questionnaire through the link under Health Facilities and Providers on this page. Your feedback is encouraged and valued, as our goal is to ensure the professional and consistent application of the survey process.

Thank you for the assistance provided to the surveyor. Should you have any questions please call this office at (386) 462-6201.

Sincerely,

for

Kristie J. Mennella
Field Office Manager

KJM/amw

Enclosure

Headquarters
2727 Mahan Drive
Tallahassee, FL 32308
http://ahca.myflorida.com

Alachua Field Office
14101 N W Hwy 441, Suite 800
Alachua, FL 32615-5669
Phone (386) 462-6201; Fax (386) 418-5300

| STATEMENT OF DEFICIENCIES | (X1) PROVIDER/SUPPLIER IDENTIFICATION NUMBER: | (X3) DATE SURVEY COMPLETED |
|---|---|---|
| | AL11968220 | 05/06/2014 |
| NAME OF PROVIDER OR SUPPLIER | STREET ADDRESS, CITY, STATE, ZIP CODE | |
| Sweetwater At Duck Pond Alf Llc | 207 Ne 7th St Gainesville, FL 32601 | |
| SUMMARY STATEMENT OF DEFICIENCIES (FINDINGS PRECEDED BY TAG AND REGULATORY IDENTIFYING INFORMATION) | | |

**List of Tags Cited:**

St - A - 0077 - 58a-5.019(1) Fac - Staffing Standards - Administrators S-S= D
St - A - 0078 - 58a-5.019(2) Fac - Staffing Standards - Staff S-S= D
St - A - E201 - 58a-5.030(2) Fac - Ecc - Policies S-S= D

**Specific Tag Findings:**

0000-Initial Comments

On , an unannounced **ECC Monitoring** survey was conducted at Sweetwater at Duck Pond Assisted Living Facility in Gainesville, Florida. Discernible deficiencies were identified as a result of this survey. The facility was not in compliance at this time.

0077-Staffing Standards - Administrators 58A-5.019(1) FAC
Based on interviews and record reviews the facility failed to have a CORE trained manager for 6 of 6 residents living in the facility.

Findings:

1. On at approximately 9:30 AM during an entrance conference with staff member B she stated she was the facility manager. She said the Administrator lives in Sarasota Florida and comes to the facility once a month. Staff B stated she took the CORE training but she did not take the CORE exam.

2. On a record review of Staff B's employee record was conducted. It was observed she had a training certificate for CORE dated but she did not have a CORE number showing she had taken the CORE exam and passed it..

3. On at approximately 12:05 PM an interview was conducted with the Administrator by phone. She stated Staff B is the manager of the facility. She stated she comes to the facility at least once every week or every other week to ensure things are running smoothly.

Class III

0078-Staffing Standards - Staff 58A-5.019(2) FAC
Based on record reviews and interview the facility failed to have a current freedom from documentation for 1 of 3 staff members (Staff B).

Findings:

1. On Staff B's employee record was reveiwed. Staff B's record had a copy of a Chest X-ray, dated which showed she did not constitute a risk of communicating at that time.

2. On at approximately 1:00 PM an interview was conducted with Staff B regarding her not having a

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current freedom from documentation. Staff B was asked if she has had an exam for since she had the X-ray and she stated no.

Class III

E201-ECC - Policies 58A-5.030(2) FAC
Based on record review and interview the facility failed to have Extended Congregate Care Policies as required for the facility with an ECC license.

Findings:

1. On a record review was conducted on the facility's ECC policies. It was observed that the policies that the facility manager provided did not address aging in place, personal and supportive services, nursing services. Identifying potential unscheduled resident service needs and mechanism for meeting those needs including the identification of resources to meet those needs. A process for mediating conflict. How to involve residents in decisions concerning the resident.

2. On at approximately 1:00 PM an interview with the manager was conducted. She was asked if there were any more policies for ECC that contained the required policies and procedures. She stated all she had is what she provided.

Class III