STATEMENT OF DEFICIENCIES	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:	(X3) DATE SURVEY COMPLETED
	AL11968311	**05/23/2018**
NAME OF PROVIDER OR SUPPLIER **WINDSOR REFLECTIONS AT LAKEWOOD RANCH**	STREET ADDRESS, CITY, STATE, ZIP CODE **8230 NATURES WAY** **BRADENTON, FL 34202**	

SUMMARY STATEMENT OF DEFICIENCIES
(FINDINGS PRECEDED BY TAGS AND REGULATORY IDENTIFYING INFORMATION)

0000 - Initial Comments

Assisted Living Facility

An abbreviated biennial licensure survey with Limited Nursing Services (LNS) was conducted on .

The Windsor Reflections at Lakewood Ranch, Assisted Living Facility (License #12217), had deficiencies at the time of this visit.

0078 - Staffing Standards - Staff - 58A-5.019(2) FAC

Based on interview and record review, the facility failed to ensure that newly hired staff (1 of 3 reviewed in facility with 46 in-house residents) submitted within 30 days of hire a statement from a health care provider, based on an examination conducted within the previous six months, that the person does not have any signs or symptoms of a communicable including .

Findings included:

A review of personnel records and staff schedules was conducted at the facility on beginning at 10:30am.

Staff B was hired as a Resident Aide/Medical Technician (Med Tech) on to provide direct personal care for facility residents. Staff B's personnel record did not contain a written statement from a health care provider documenting Staff B not having any signs or symptoms of a communicable including .

The deficiencies found during review of randomly selected personnel records were discussed with the Administrator and the Healthcare Coordinator during exit interview conducted on beginning at 2:40pm.

Class III

0081 - Training - Staff In-Service - 58A-5.0191(2) FAC

Based on observation, record review, and interviews, the facility failed to ensure that all staff who provides direct care to residents receive a minimum of 1 hour in-service training within 30 days of

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employment that covers the facility's emergency procedures including chain-of-command and staff roles relating to emergency evacuation. The facility failed to ensure that all staff receive in-service training regarding the facility's resident elopement response policies and procedures within 30 days of employment. The facility failed to ensure that all staff who provides direct care to residents receive a minimum of 1 hour in-service training within 30 days of employment that covers reporting major and adverse incidents and a minimum of 1 hour in-service training that covers Resident Rights in an Assisted Living Facility and recognizing and reporting resident , neglect, and , . The facility failed to ensure that all staff who prepare or serve food receive a minimum of 1-hour-in-service training within 30 days of employment in safe food handling practices.

Findings included:

AHCA Surveyor reviewed personnel records and staff schedules at the facility on . Staff A was hired as a Licensed Practical Nurse (LPN) on ; Staff B was hired as a Resident Aide/Med Tech on ; Staff C was hired as a Certified Nursing Assistant (CNA) on . Interviews with the Administrator, the Healthcare Coordinator, staff, and residents confirmed that Staff A, Staff B, and Staff C provide direct personal care for facility residents. Surveyor review revealed no documentation of required staff in-service training as follows:

Three of 3 (Staff A, Staff B, and Staff C) records reviewed contained no documentation of completion of training in facility emergency procedures including chain-of-command and staff roles relating to emergency evacuation. Staff A and Staff C's records contained no documentation of training. Staff B's file contained a Relias Learning Transcript for 1 hour of training in "Reporting Incidents and Emergency Procedures;" the online training was not facility-specific and did not include training pertaining to emergency evacuation.

Three of 3 (Staff A, Staff B, and Staff C) records reviewed contained no documentation of completion of training regarding the facility's resident elopement response policies and procedures. Staff A and Staff C's records contained no documentation of training. Staff B's file contained a Relias Learning Transcript for 1 hour of training in "FL Elopement Policy;" the online training was not facility-specific.

Two of 3 (Staff A and Staff C) records reviewed contained no documentation of training in reporting major and adverse incidents.

Two of 3 (Staff A and Staff C) records reviewed contained no documentation of a minimum of 1 hour in-service training within 30 days of employment that covers Resident Rights in an Assisted Living Facility and Recognizing and Reporting resident , neglect, and , . Staff A's record

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contained an undated Relias Learning Transcript for 30 minutes of nationwide information. Staff C's record contained a Relias Learning Transcript for 30 minutes of nationwide information dated .

Two of 2 (Staff B and Staff C) records reviewed of personnel who provide food to residents did not contain documentation of a minimum of 1-hour-in-service training within 30 days of employment in safe food handling practices. Staff B's record contained a Relias Learning Transcript: "Safe Food Handling .50 hours." Staff C's record contained no documentation of training.

AHCA Surveyor discussed staff training and training certificate requirements with the Administrator and the Healthcare Coordinator during facility exit interview conducted on beginning at 2:40pm.

Class III

0082 - Training - / - 58A-5.0191(3) FAC

Based on interview and record review, the facility failed to ensure that all staff (1 of 3 reviewed in facility with 46 in-house residents) have completed a one-time education course on / (/) required within 30 days of employment.

Findings included:

A review of personnel records and staff schedules was conducted at the facility on . Staff C was hired as a Certified Nursing Assistant (CNA) on to provide direct personal care for facility residents.

Staff C's personnel record did not contain evidence of training regarding / (/).

The staff training and training certificate requirements were discussed with the Administrator and the Healthcare Coordinator during facility exit interview conducted on beginning at 2:40pm.

Class III

0084 - Training - Assis Self-Admin Meds & Med Mgmt - 58A-5.0191(5) FAC

Based on record review, and interview, the facility failed to ensure that 1 of 2 staff who provide assistance with self-administration of medications has completed the required initial 4-hour training on

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providing assistance with self-administration of medications and safe medication practices in an assisted living facility.

Findings included:

AHCA Surveyor conducted a review of 3 employee files at the facility on beginning at 10:30am. Records revealed that 2 of the 3 employees reviewed (Staff A and Staff B) provide residents assistance with self-administration of medications.

Staff B was hired as a Resident Aide/Med Tech on to provide residents direct personal care and assistance with self-administration of medications. Staff B's file contained one training certificate dated for completion of an annual 2-hour update on providing residents assistance with self-administration of medications. There was no documentation of Staff B having completed initial 4-hour training in providing assistance with self-administration of medications and safe medication practices in an assisted living facility.

Review of Resident Medication Observation Records and interview with the Healthcare Coordinator on confirmed that Staff B provides residents assistance with self-administration of medications.

AHCA Surveyor discussed the lack of required training with the Administrator and the Healthcare Coordinator during exit interview conducted on beginning at 2:40pm.

Class III

0086 - Training - ADRD - 58A-5.0191(9) FAC

Based on observation, record review, and interview, the facility failed to ensure that all staff who have regular contact with or provide direct care to residents with 's and Related obtain 4 hours of initial training within 3 months of employment (ADRD Level I Training) and an additional 4 hours of training within 9 months of employment (ADRD Level II Training).

Findings included:

On beginning at 9:30am, AHCA Surveyors conducted a tour of the facility, reviewed facility records, and interviewed residents and staff. The facility contains 3 secure Memory Care neighborhoods serving residents (46 in-house at time of visit) with and Related (ADRD).

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SUMMARY STATEMENT OF DEFICIENCIES
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AHCA Surveyor reviewed personnel records and staff schedules at the facility on . Staff A was hired as a Licensed Practical Nurse (LPN) on ; Staff B was hired as a Resident Aide/Med Tech on ; Staff C was hired as a Certified Nursing Assistant (CNA) on . Interviews with the Administrator, the Healthcare Coordinator, staff, and residents confirmed that Staff A, B, & C provide direct personal care for facility residents.

Employee Record Reviews revealed no documentation of required 's and Related (ADRD) training as of as follows:

Staff A's record contained no documentation of ADRD Level I training (due by) and no documentation of ADRD Level II training (due by).

Staff B's record contained 3 Relias Learning Transcripts: "Understanding 's and "-1 hour; " Care"-.50 hour; and " Care"- 1 hour. Staff B's record revealed 2.5 hours of online ADRD Level I training. Staff B's record contained no documentation of ADRD Level II training (due by).

Staff C's record contained no documentation of ADRD Level I training (due by) and no documentation of ADRD Level II training (due by).

AHCA Surveyor discussed staff training and training certificate requirements with the Administrator and the Healthcare Coordinator during facility exit interview conducted on beginning at 2:40pm.

Class III

0090 - Training - - 58A-5.0191(11) FAC

Based on record reviews and interviews, the facility failed to ensure that all direct care staff (3 of 3 reviewed in facility with 46 in-house residents) receive at least one hour of training in the facility's policies and procedures regarding ( ) within 30 days after employment.

Findings included:

AHCA Surveyor reviewed personnel records and staff schedules at the facility on .

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Staff A was hired as a Licensed Practical Nurse (LPN) on to provide direct personal care for facility residents. Staff A's personnel record contained no documentation of training in the facility's policies and procedures regarding .

Staff B was hired as a Resident Aide/Med Tech on to provide direct personal care for facility residents. Staff B's employee file contained a training certificate stating 1 hour for "Advance Directives/Biomedical Waste" dated ; the certificate noted time in/out as 11:45pm-12:05am, a total of 20 minutes for the 2 unrelated topics. Staff B's file also contained a signed affidavit of 1-hour training dated and a post-test that did not indicate training in the facility's policies and procedures regarding .

Staff C was hired as a Certified Nursing Assistant (CNA) on to provide direct personal care for facility residents. Staff C's employee file contained a training certificate stating 1 hour for "Advance Directives/Biomedical Waste" dated ; the certificate noted time in/out as 11:45pm-12:05am, a total of 20 minutes for the 2 unrelated topics. Staff C's personnel record contained no documentation of training in the facility's policies and procedures regarding .

AHCA Surveyor discussed staff training and training certificate requirements with the Administrator and the Healthcare Coordinator during facility exit interview conducted on beginning at 2:40pm.

Class III