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LT. GOVERNOR

April 26, 2013

Mr. Daniel Thomas, Administrator
Ballard Creek Community
908 North US Hwy 69
Huxley, IA 50124

**RE: Final Recertification Monitoring Evaluation Report – Ballard Creek
Community, Huxley, IA**

Dear Mr. Thomas:

Enclosed is the **Final Recertification Monitoring Evaluation Report** completed by the Department of Inspections and Appeals (DIA) in accordance with Iowa Code chapter 231C and Iowa Administrative Code (IAC) chapters 481—67 and 481—69.

DIA has completed the review of your Plan of Correction (POC) in response to the **Preliminary Recertification Monitoring Evaluation Report**. Based upon a complete review of the Report and your actions to correct the identified Regulatory Insufficiencies, DIA accepts your POC. The Final report is enclosed.

The review of the recertification documents you submitted has been completed and the documents are accepted. In addition, the State Fire Marshal's (SFM) inspection report has been received as well as the Facility Engineer's approval of the Evacuation Plans for your program.

Enclosed you will find the Assisted Living Program Certificate **S0007** with effective dates of **June 1, 2013** through **May 31, 2015**.

IOWA DEPARTMENT OF INSPECTIONS AND APPEALS
Assisted Living Program
Final Recertification Monitoring Evaluation Report

Assisted Living Program:

Daniel Thomas, Administrator
Ballard Creek Community
908 North US Hwy 69
Huxley, IA 50124

Date of Monitoring Visit:

April 1, 2013

Monitor(s):

Joyce Kix, RN

Definitions: *The following definitions are relevant:*

Assisted Living Program – A program certified under 481 IAC 69 that provides housing with contracted services to three or more tenants in a physical structure that provides a homelike environment. Services may include but are not limited to health-related care, personal care, and assistance with instrumental activities of daily living. A General Population Program is an Assisted Living Program that is not dementia-specific but may have tenants with cognitive disorder.

Dementia-Specific Assisted Living Program - An assisted living program certified under 481 IAC 69 that serves fewer than fifty-five (55) tenants and has five (5) or more tenants who have dementia between Stages 4 and 7 on the Global Deterioration Scale (GDS), or serves 55 or more tenants and 10 percent or more of the tenants have dementia between Stages 4 and 7 on the GDS, or holds itself out as providing specialized care for persons with dementia, such as Alzheimer's disease, in a dedicated setting.

Regulatory Insufficiency - A violation of a statutory or rule provision within the Code of Iowa (2011) or the Iowa Administrative Code (IAC) governing assisted living programs. A regulatory insufficiency requires a plan of correction to be presented to and approved by the Department of Inspections and Appeals (DIA).

Plan of Correction - A written response to one or more regulatory insufficiencies that are statutory or rule violations. The plan should identify how and by what date each regulatory insufficiency will be corrected, and what measures will be taken to ensure the problem does not recur. The plan is due to DIA within ten (10) working days of the program's receipt of a Complaint/Incident Investigation Report. Depending on the circumstances, DIA may revisit the assisted living program to confirm progress in fulfilling a plan's corrective measures.

Overview: *In preparing this report, the following information was considered:*

Current Program Census

Assisted Living Programs are defined by the type of population served. The census numbers were provided by the Program at the time of the on-site.

Dementia-Specific Program by Definition	
Number of tenants without cognitive disorder:	28
Number of tenants with cognitive disorder:	8
Total Population of Program at time of on-site	36
TOTAL census of Assisted Living Program	
	36

Tenant/Family Satisfaction Results – A community meeting was held with 15 tenants. They reported staff members were friendly and the property was well taken care of. The nursing services were great with quick response to calls. The food was generally good; however, evening meals were not always as satisfying. The tenants enjoyed activities but thought weekends were very quiet. They felt safe at the program and would recommend it to others.

Program History – There were no regulatory insufficiencies identified during the past certification period.

On-Site Monitoring Evaluation – The monitor made observations detailed in the following areas.

A. Food Service

Monitoring Observation: Tenant #1, a 92 year old, was admitted to the program 6-22-09 with diagnoses of: Hypertension, Coronary Artery Disease, Congestive Heart Failure, Atrial Fibrillation, Degenerative Joint Disease and Osteoporosis. The clinical record contained a recommendation from speech pathology for a mechanical soft diet with regular fluids. The program initiated the therapeutic diet on 2-4-13. The clinical record lacked notification to the physician or a physician's order for the therapeutic diet. The program sent a facsimile to the physician 4-1-13 after the monitor identified the lack of a physician's order.

Regulatory Insufficiency: Therapeutic diets may be provided by a program. If therapeutic diets are provided, they shall be prescribed by a physician, physician assistant, or advanced registered nurse practitioner. A current copy of the Iowa Simplified Diet Manual published by the Iowa Dietetic Association shall be available and used in the planning and serving of therapeutic diets. A licensed dietitian shall be responsible for writing and approving the therapeutic menu and for reviewing the procedures for food preparation and service for therapeutic diets. (IAC r. 481-69.28(4))

B. Transportation

Monitoring Observation: Upon entrance on 4-1-13 at 8:30 a.m., the Administrator provided copies of the license of the driver for the program's van utilized for transportation of tenants. The license for Staff #1 documented a class C license without any endorsements to drive tenants while in paid status from the program. The Administrator reported Staff #1 would not drive again until he had achieved the proper licensure.

Regulatory Insufficiency: The driver shall have a valid and appropriate Iowa driver's license or commercial driver's license as required by law for the vehicle utilized for transport. If the driver is licensed in another state, the license shall be valid and appropriate for the vehicle being utilized for transport. The driver shall meet any state or federal requirements for licensure or certification for the vehicle operated. (IAC r. 481-69.33(6))