

TERRY E. BRANSTAD
GOVERNOR

KIM REYNOLDS
LT. GOVERNOR

RODNEY A. ROBERTS, DIRECTOR

July 26, 2011

Kathy Gerdes, Administrator
Franken Manor
527 South Main Street
Sioux Center, IA 51250

**RE: Final Recertification Monitoring Evaluation Report – Franken Manor,
Sioux Center, IA**

Dear Ms. Gerdes:

Enclosed is the **Final Recertification Monitoring Evaluation Report** completed by the Department of Inspections and Appeals (DIA) in accordance with Iowa Code chapter 231C and Iowa Administrative Code (IAC) chapters 481–67 and 481–69.

DIA has completed the review of your Plan of Correction (POC) and your Request for Reconsideration in response to the **Final Recertification Monitoring Evaluation Report**. Based upon a complete review of the Report and your actions to correct the identified Regulatory Insufficiencies, DIA accepts your POC.

Based on the information provided in the Request for Reconsideration, DIA denies your request as it relates to Other (Dependent Adult Abuse Training) as program was not using an appropriately approved training program as identified by Diane Nicholls-Blomme of the Department of Public Health.

Enclosed you will find the Assisted Living Program Certificate **S0076** with effective dates of **April 24, 2011** through **April 23, 2013**.

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INVESTIGATIONS
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If you have any questions in regard to this certification, please contact me at 515/281-4116

Sincerely,

Jim Berkley

Jim Berkley
Program Coordinator
Adult Services Bureau

Enclosure

**Iowa Department of Inspections and Appeals
Assisted Living Program
Final Recertification Monitoring Evaluation Report**

Assisted Living Program:

Kathy Gerdes, Administrator
Franken Manor
527 South Main St.
Sioux Center, Iowa 51250

Date of Monitoring Visit:

June 20, 2011

Monitor(s):

Maribeth Freland RN

Definitions:

The following definitions are relevant:

Regulatory Insufficiency - A violation of a statutory or rule provision within the Iowa Code or Iowa Administrative Code (IAC) governing assisted living programs. A regulatory insufficiency requires a plan of correction to be presented to, and approved by, the Department of Inspections and Appeals (DIA).

Plan of Correction - A written response to one or more regulatory insufficiencies that are rule violations. IAC r. 481-67.10(5). The plan should identify how, and by a specific date, an insufficiency will be corrected. The plan is due to DIA within ten (10) working days of the program's receipt of a Recertification Monitoring Evaluation Report. Depending on the circumstances, DIA may revisit the assisted living program to confirm progress in fulfilling a plan's corrective measures.

Dementia-specific assisted living program - An assisted living program certified under 481 IAC chapter 69 that: serves fewer than fifty-five (55) tenants and has five (5) or more tenants who have dementia between Stages 4 and 7 on the Global Deterioration Scale or serves 55 or more tenants and 10 percent or more of the tenants have dementia between Stages 4 and 7 on the Global Deterioration Scale or holds itself out as providing specialized care for persons with dementia, such as Alzheimer's disease, in a dedicated setting.

Overview:

An on-site monitoring evaluation was conducted at Franken Manor on June 20, 2011. In preparing this report, the following information was considered:

Current Program Census:

General Population Program (GPP)* – A program that is not a Dementia Specific program, but may have tenants with cognitive disorder.

Current number of tenants without cognitive disorder:	15
Current number of tenants with cognitive disorder:	1
Total Population:	16

Dementia Specific Program (DSP)* – Not applicable.

***These are the census numbers represented by the program to be applicable at the time of the on-site.**

Tenant/Family Satisfaction Results – A community meeting was held with 5 assisted living tenants and 9 independent living tenants in attendance. The tenants reported general satisfaction with the program. They reported respectful staff interaction and timely response to calls made using emergency pendants. The tenants reported the food served by the program was palatable and the provision of scheduled activities plentiful. They reported the program's environment to be clean and safe, acknowledging they would recommend the program to others.

Program History – The program had no regulatory insufficiencies this certification period.

On-Site Monitoring Evaluation – The monitor(s) made the observations detailed in the following areas.

Other- Dependent Adult Abuse Mandatory Reporter Training

- **Monitoring Observation:**

On 6-20-11 at approximately 4:00 PM, a Department of Public Health Abuse Education Review Panel Member reported combined training for dependent adult abuse and child abuse mandatory reporting can be used by programs to train employees on dependent adult abuse prevention, recognition and reporting however the training would need to be at least four hours long to assure each employee received the required full two hours related to dependent adult abuse.

Staff #1, a certified nurse aide (CNA), had a hire date of 10-14-10 and continued to work with tenants at the time of the monitoring visit. The personnel record included documentation of completion of a three hour combined dependent adult abuse and child abuse training on 4-3-07; therefore, the CNA did not have the required full two hours of training related to dependent adult abuse prevention, recognition and reporting.

Staff #2, a certified medication aide (CMA), had a hire date of 12-15-99 and continued to work with tenants at the time of the monitoring visit. The personnel record included documentation of completion of a three hour combined dependent adult abuse and child abuse training on 2-24-10; therefore, the CMA did not have the required full two hours of training related to dependent adult abuse prevention, recognition and reporting.

Staff #3, a universal worker, had a hire date of 5-16-11 and continued to work with tenants at the time of the monitoring visit. The personnel record included documentation of completion of a three hour combined dependent adult abuse and child abuse training on 8-31-10; therefore, Staff #3 did not have the required full two hours of training related to dependent adult abuse prevention, recognition and reporting.

Staff #4, a CMA, had a hire date of 10-3-06 and continued to work with tenants at the time of the monitoring visit. The personnel record included documentation of completion of a three hour combined dependent adult abuse and child abuse training on 12-27-06; therefore, the CMA did not have the required full two hours of training related to dependent adult abuse prevention, recognition and reporting.

Staff #5, a registered nurse (RN), had a hire date of 1-31-11 and continued to work with tenants at the time of the monitoring visit. The personnel record included documentation of completion of a three hour combined dependent adult abuse and child abuse training on 2-21-11; therefore, the RN did not have the required full two hours of training related to dependent adult abuse prevention, recognition and reporting.

The program failed to assure multiple staff members received the required two hours of dependent adult abuse reporter training at least every five years.

- Regulatory Insufficiency: A person required to report cases of dependent adult abuse pursuant to section 235B.3, other than a physician whose professional practice does not regularly involve providing primary health care to adults, shall complete two hours of training related to the identification and reporting of dependent adult abuse within six months of initial employment or self-employment which involves the examination, attending, counseling, or treatment of adults on a regular basis. Within one month of initial employment or self-employment, the person shall obtain a statement of the abuse reporting requirements from the person's employer or, if self-employed, from the department. The person shall complete at least two hours of additional dependent adult abuse identification and reporting training every five years. (IAC 235B.16.5.b)

Dated this 25th day of July, 2011.