

DEPARTMENT OF HEALTH AND HUMAN SERVICES
CENTERS FOR MEDICARE & MEDICAID SERVICES

PRINTED: 03/05/2024
FORM APPROVED
OMB NO. 0938-0391

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: 205101		(X2) MULTIPLE CONSTRUCTION A. BUILDING _____ B. WING _____		(X3) DATE SURVEY COMPLETED C 02/20/2024	
NAME OF PROVIDER OR SUPPLIER PINNACLE HEALTH & REHAB CANTON				STREET ADDRESS, CITY, STATE, ZIP CODE 26 PLEASANT ST CANTON, ME 04221			
(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)			ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)		(X5) COMPLETION DATE
F 000	INITIAL COMMENTS			F 000			
F 684 SS=E	On 2/20/24 an unannounced on site visit was conducted at Pinnacle Health & Rehabilitation - Canton to investigate complaint #ME00046382. It was determined that Pinnacle Health & Rehabilitation - Canton was not in substantial compliance with 42 CFR Part 483, Subpart B - Requirements for Long Term Care Facilities. Quality of Care CFR(s): 483.25 § 483.25 Quality of care Quality of care is a fundamental principle that applies to all treatment and care provided to facility residents. Based on the comprehensive assessment of a resident, the facility must ensure that residents receive treatment and care in accordance with professional standards of practice, the comprehensive person-centered care plan, and the residents' choices. This REQUIREMENT is not met as evidenced by: Based on record review, observations and interviews, and review of the rashes, data collection line listing, the facility failed to enhance the quality of care for residents when they failed to timely diagnose and treat Sarcoptes scabiei (scabies) and failed to follow CDC recommendations to prevent the spread and/or re-exposure in 7 of 7 residents who were infected with rashes. Findings: The facilities Infection Control Policy and Procedure for Transmission Based Precautions states, "Appropriate precautions shall be used either at all times (Standard Precautions) or for			F 684			

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

Any deficiency statement ending with an asterisk (*) denotes a deficiency which the institution may be excused from correcting providing it is determined that other safeguards provide sufficient protection to the patients. (See instructions.) Except for nursing homes, the findings stated above are disclosable 90 days following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosable 14 days following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.

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F 684	Continued From page 1 individuals who are documented or suspected to have infections or communicable diseases that can be transmitted to others (transmission based precautions). Contact Precautions: and two standard precautions, implement contact precaution for residents known or expected to be infected or colonized with microorganisms that can be OK transmitted by direct contact with the resident or indirect contact with environmental services or resident care items in the resident's environment. Section A. Examples of infections requiring contact precautions include, but are not limited to: Scabies. Section C. Gloves and hand washing. Section D. Gowns." Centers for Disease Control and Prevention (CDC) Scabies Resources for Health Professionals-Institutional Setting indicates under "Control" section, "Infection control personnel and dermatologist should be involved as soon as scabies is suspected in an institution. An institution-wide information program should be implemented to instruct all management, medical, nursing, and support staff about scabies, the scabies mite, and how scabies is and is not spread." " All suspected and confirmed cases, as well as all potentially exposed patients, staff, visitors and family members should be treated at the same time to prevent reexposure". 1. Review of the facility's Rashes data collection line list stated, Resident #1 had a rash which started on 11/15/23. A provider's note dated, 1/9/24 states, resident complaint of, "I know it is scabies ... His/her left arm and now his/her abdomen have a stubborn mild appearing rash with an itch. Rash - first noted in notes 11/27/23 ... We discussed the possibility of having a dermatology consult for a scraping, and he/she	F 684			

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F 684	Continued From page 2 agrees to this." Another provider note dated 1/24/24 states, "The staff says that his/her back is very red and pruritic. The resident says he/she is still itching, and still believes it is scabies. He/he is happy to receive the permethrin treatment since no dermatology appointment has been obtained." Orders for, "Rash and other nonspecific skin eruption, Permethrin 5% cream apply topically to body and leave on for 12 hours, then shower, as treatment empirically for scabies." An additional Provider note dated 12/12/24 states, "Patient reports some initial improvement after permethrin cream 1/29/24 but now symptoms have recurred ... Puritis - possible scabies? Permethrin cream 5% topically from head to toe (not face). Shower in 12 hours. Launder lines and clothing in hot water." 2. Review of the facility's Rashes data collection line list stated, Resident #2 had a rash which started on 1/29/24. A providers note dated 1/29/24 states, Resident is seen today for a rash. Staff report pruritic rash on patient's ABD. Patient c/o rash and itching extends to his back ... Skin: diffusely spread papular rash on trunk with excoriations ... pruritic rash - possibly scabies, treat with permethrin 5% cream applied topically from head to toe, except forface; wash in 12 hours. Launder clothing and linens in hot water." An additional provider noted dated, 2/12/24 states, Staff report pruritic rash on patient's ABD. Patient c/o rash and itching extends to his/her back. Patient was treated with permethrin cream 1/29/24 but rash and itching appear to be spreading ...pruritic rash - possibly scabies, retreat with permethrin 5% cream applied topically from head to toe, except for face; wash in 12 hours. Launder clothing and linens in hot water. Also treating patient's roommates who	F 684			

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F 684	Continued From page 3 share closet." 3. Review of the facility's Rashes data collection line list stated, Resident #3 had a rash which started on 12/2/23. A provider note dated, 12/27/23 states, "He/she has had a persistent rash for several weeks. It has been treated with Triamcinolone cream started on 12/2/23 and renewed on 12/19/23. The rash persists ... He/she states he/she is "itchy all over". The condition has not changed much except for the color of the "bites". He/she is quite uncomfortable, and gets agitated easily. Due to the severity of his/her itch, it was discussed with the nursing supervisor the possibility of scabies. No dermatologist is available to do a scraping on short notice. Will treat this resident EMPIRICALLY for scabies using permethrin 5% cream." An additional provider note dated 2/19/24 states, Resident is seen today for reportedly pruritic rash. Patient is noted to be scratching BUE and hands during visit. Patient was previously treated for scabies with permethrin 5% cream 12/27/23. SKIN: scattered pink papules on bilateral upper extremities with excoriations. Right hand thenar eminence marked with ink, burrows stained with ink after cleansing with alcohol. Skin scraping showed mite feces." 4. Review of the facility's Rashes data collection line list stated, Resident #4 had a rash which started on 11/30/23. A provider note dated 12/27/23 states, "This resident has experienced a rash with itching for several weeks. It has been noted back to 11/30/23 when triamcinolone ointment was ordered. He/she also was treated with a prednisone taper without relief ... The rash is on his/her right arm, but is faint, but he/she says it itches a lot ...His/her primary complaint is	F 684			

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F 684	Continued From page 4 the itch. Since this rash has not responded to triamcinolone cream and prednisone, a differential diagnosis will be to consider scabies. This provider will order EMPIRICALLY to treat with permethrin cream 5%. It is unlikely that he/she can get a quick appointment for a dermatologist to do a scraping to diagnose for scabies." Another provider note dated 2/7/24 states, "On inspection of his/her skin, there is no indication of a rash ...Impression: Rash has resolved. No treatment needed." Further review of the medical record shows a physician order dated 2/19/24, for Permethrin cream 5%, shower 12 hours, launder linens and clothing worn in past 4 days in hot water, due to roommates positive diagnosis of scabies. 5. Review of the facility's Rashes data collection line list stated, Resident #5 had a rash which started on 1/29/24. A providers noted dated 1/29/24 states, " SKIN: diffusely spread papular rash on BUE ...Puritic rash - possible scabies. Treat with permethrin 5% cream. Apply topically from head to toe, except for face, wash in 12 hours. Launder clothing and linens in hot water." An additional provider note dated 2/19/24 states, "Resident is seen today for pruritic rash. Patient was treated for scabies with permethrin 5% cream 1/31/24 but staff note patient scratching with papular rash on BUE and back ... SKIN: papular rash greatest on LT upper extremity anterior shoulder and RT posterior shoulder Small area on LUE with alcohol. Skin scraping showed mite feces." 6. Review of Resident #6s medical record has provider note dated 2/19/24 which states, "Resident is seen today for c/o pruritic rash ... SKIN: papular rash BUE and posterior shoulders,	F 684			

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F 684	Continued From page 5 small area of skin marked with ink with uptake noted in burrows, skin scraping showed mite feces." 7. Review of Resident #6 medical record has provider note dated 2/12/24 which states, "Dermatitis - patient c/o diffusely spread pruritic rash for several days; insomnia secondary to itching, mild relief with hydroxyzine ... Skin: diffusely spread papular rash with excoriations and ecchymosis on anterior chest and BUE ... Dermatitis - Possibly secondary to scabies vs eczema. Start permethrin 5% cream topically to skin from scalp to toes. Shower in 12 hours. Laundry linens and clothing in hot water." On 2/20/24 at approx. 11:52 a.m., during an interview, the Director of Nursing, confirmed her first knowledge of staff having a rash was in November of 2023 stating, the rashes were never confirmed as scabies, although staff was treated with scabicides. The DON's first knowledge of a resident being treated for possible scabies was back in December 2023. At that time, DON had requested dermatology follow up and skin scrapings for positive identification of scabies. She was met with resistance for obtaining the skin scraping, being told by both the Nurse Practitioner and Medical Director that the rashes were not scabies, while being treated with scabicides. The request for skin scrapings was not completed until 2/19/24, after 7 residents had rashes periodically and treated for scabies since December. At this time, the DON stated the residents who have been treated with scabicides were never placed on TBP for their rashes. On 2/23/24 the surveyor received an email from the Director of Nursing stating, "we are treating all			F 684			

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F 684	Continued From page 6			F 684			
F 880	residents and staff on the unit with Ivermectin, 2 doses one week apart."						
SS=E	Infection Prevention & Control CFR(s): 483.80(a)(1)(2)(4)(e)(f)			F 880			
	§483.80 Infection Control The facility must establish and maintain an infection prevention and control program designed to provide a safe, sanitary and comfortable environment and to help prevent the development and transmission of communicable diseases and infections. §483.80(a) Infection prevention and control program. The facility must establish an infection prevention and control program (IPCP) that must include, at a minimum, the following elements: §483.80(a)(1) A system for preventing, identifying, reporting, investigating, and controlling infections and communicable diseases for all residents, staff, volunteers, visitors, and other individuals providing services under a contractual arrangement based upon the facility assessment conducted according to §483.70(e) and following accepted national standards; §483.80(a)(2) Written standards, policies, and procedures for the program, which must include, but are not limited to: (i) A system of surveillance designed to identify possible communicable diseases or infections before they can spread to other persons in the facility; (ii) When and to whom possible incidents of communicable disease or infections should be reported;						

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F 880	Continued From page 7 (iii) Standard and transmission-based precautions to be followed to prevent spread of infections; (iv) When and how isolation should be used for a resident; including but not limited to: (A) The type and duration of the isolation, depending upon the infectious agent or organism involved, and (B) A requirement that the isolation should be the least restrictive possible for the resident under the circumstances. (v) The circumstances under which the facility must prohibit employees with a communicable disease or infected skin lesions from direct contact with residents or their food, if direct contact will transmit the disease; and (vi) The hand hygiene procedures to be followed by staff involved in direct resident contact. §483.80(a)(4) A system for recording incidents identified under the facility's IPCP and the corrective actions taken by the facility. §483.80(e) Linens. Personnel must handle, store, process, and transport linens so as to prevent the spread of infection. §483.80(f) Annual review. The facility will conduct an annual review of its IPCP and update their program, as necessary. This REQUIREMENT is not met as evidenced by: Based on record review, observations and interviews the facility failed to maintain and implement an infection control program to help prevent the development and transmission of infectious disease <i>Sarcoptes scabiei</i> (scabies) in 3 of 3 residents who were infected with rashes (#3, #5, #6) and failed to follow CDC	F 880			

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F 880	Continued From page 8 recommendations to help prevent spread or reexposure. Findings: The facility's Infection Control Policy and Procedure for Transmission Based Precautions states, "Appropriate precautions shall be used either at all times (Standard Precautions) or for individuals who are documented or suspected to have infections or communicable diseases that can be transmitted to others (transmission based precautions). Contact Precautions: and two standard precautions, implement contact precaution for residents known or expected to be infected or colonized with microorganisms that can be OK transmitted by direct contact with the resident or indirect contact with environmental services or resident care items in the resident's environment. Section A. Examples of infections requiring contact precautions include, but are not limited to: Scabies. Section C. Gloves and hand washing. Section D. Gowns." Centers for Disease Control and Prevention (CDC) Scabies Resources for Health Professionals-Institutional Setting indicates under "Control" section, "Infection control personnel and dermatologist should be involved as soon as scabies is suspected in an institution. An institution-wide information program should be implemented to instruct all management, medical, nursing, and support staff about scabies, the scabies mite, and how scabies is and is not spread." " All suspected and confirmed cases, as well as all potentially exposed patients, staff, visitors and family members should be treated at the same time to prevent reexposure".	F 880			

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F 880	Continued From page 9 1. On 2/20/24 from 8:15 a.m.to 4:00 p.m., a surveyor was on site investigating the scabies outbreak concern. Upon arrival, observations of 2 rooms, 116 and 122, to have a blue sign posted on the door stating, "Stop please see nurse before entering". There was no Transmission Based Precautions (TBP) cart with instructions on what PPE to don prior to entering these rooms. No other rooms observed in the facility had blue signs or TBP posted. Room 114 had a sign posted that stated, "This room is scheduled to be sanitized on Tuesday 12/20 at 12:00-2:00". 2. On 2/20/24 at 8:25 a.m., during a brief entrance interview with the Director of Nursing (DON) and Administrator, the DON stated, on Monday, 2/19/24, the medical provider did 3 scrapings for suspected scabies and all 3 residents were positive, resident #3, #5 and #6. The surveyor obtained the facilities census and noted the 3 residents who were positive for scabies did not have TBP posted outside of their rooms. (Room 114, 121 and 125). 3. On 2/20/24 at 8:35 a.m. during an interview Certified Nurses Aid (CNA) #2, was visibly distraught and crying, stating he/she worked last week with a rash but wasn't sure what it was until he/she saw a scabies treatment being applied to residents. That's when he/she decided to go to his/her doctor. He/she went to the doctors on 2/19/24 and was started on a treatment for scabies. He/she then stated, "At least put contact precautions on the door. I'm so frustrated right now". At this time, CNA #2 confirmed he/she had not informed the DON of his/her diagnosis. 4. On 2/20/24 at 9:06 a.m., in an interview, the Activities Assistant confirmed the only 2 rooms	F 880			

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F 880	Continued From page 10 that were on TBP were room 116 and 122. She then stated, "[Resident #3] seems to be itching for a while." The surveyor asked if she was aware of any residents with scabies, she stated, "That, I do not know. Some residents do have a cream to treat a rash but, I don't know of any residents actually having it." 5. On 2/20/24 at 9:17 a.m., in an additional interview, the DON was asked why TBP were not posted outside to the rooms of the residents with scabies. She stated, "Well because, I literally just found out when I walked in this morning, nobody called me yesterday." The surveyor confirmed the residents were diagnosed with scabies yesterday afternoon and have not been placed on TBP. DON then stated, "That's what I'm trying to follow up with because I literally walked in at 7:00 this morning. I had labs to do. That's when I heard, my supervisor came in and told me." 6. On 2/20/24 at 9:32 a.m., during an interview, CNA #3, when asked if he/she was aware of residents with scabies and are being treated, he/she stated, "I heard about it today" and "yes". The surveyor asked if he/she knew who those residents are. He/she replied, "Not all of them." 7. On 2/20/24 at 9:35 a.m., during an interview, CNA #4 stated, "I just found out today that two of my residents tested positive for scabies." The surveyor asked if he/she were made aware at the start of his/her shift. He/she stated, "No, I didn't know those two had it and I changed them this morning and didn't even know. They told me after breakfast this morning that they tested positive for scabies and did a skin scrape yesterday" and "No, no one told us to gown or anything."	F 880			

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F 880	Continued From page 11 8. On 2/20/24 at 9:40 a.m., during an interview with CNA #5, the surveyor asked, if he/she was notified that some residents have scabies. He/she stated, "There has been talk about it, but nothing really substantiated by the nurses." The surveyor asked again if he/she was aware that there are 3 residents with scabies. He/she stated, "No, I did not" and confirmed he/she was not given that information during report. 9. On 2/20/24 at 9:43 a.m., observation of room 114, 121 and 125 to now have a blue stop sign which states, "See nurse before entering" posted. At this time, both CNA#3 and CNA #6 stated, the signs were not up this morning, and they do not know why the sign is posted. 10. On 2/20/24 at 9:46 a.m., in a brief interview, CNA #6 confirmed he/she was not aware of residents having scabies stating, "No. I heard talk of it like before I left, but they're like no it's just a rash, but it was never confirmed case of scabies." The surveyor asked if he/she was informed that 3 residents were positive for scabies. He/she stated, "No". 11. On 2/20/24 at 9:50 a.m., during an interview with Registered Nurse (RN) #1, he/she confirmed he/she was aware of positive scabies yesterday prior to leaving his/her shift at 3 p.m. and did not initiate TBP. RN #1 then stated, he/she was treated for scabies back in December, however, did not have a positive scraping completed but the prescription from his/her doctor resolved the rash. RN #1 confirmed he/she reported this in December to the DON. 12. On 2/20/24 at 10:05 a.m., during an interview, RN #2 stated. He/she was aware of a past CNA,	F 880			

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CENTERS FOR MEDICARE & MEDICAID SERVICES

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STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: 205101	(X2) MULTIPLE CONSTRUCTION A. BUILDING _____ B. WING _____		(X3) DATE SURVEY COMPLETED C 02/20/2024
NAME OF PROVIDER OR SUPPLIER PINNACLE HEALTH & REHAB CANTON			STREET ADDRESS, CITY, STATE, ZIP CODE 26 PLEASANT ST CANTON, ME 04221		
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F 880	Continued From page 12 (CNA #8) being treated for scabies back in December or January and failed to share this information with the DON. RN #2 then confirmed the 3 residents with scabies were not placed on TBP upon knowledge of the positive scrapings yesterday stating, "No, they were not, they were not." 13. On 2/20/24 at 10:36 a.m., during an interview with the housekeeping aid, he/she confirmed knowledge of positive scabies but unaware of which rooms required TBP unless there was a sign posted. 14. On 2/20/24 at approx. 1:00 p.m., during an interview, RN Nursing Supervisor stated the CNA's were aware of which residents were being treated for scabies by her placing a dot next to the residents name on the CNA assignment sheet. The surveyor asked why TBP were not initiated immediately. She stated, "I can't answer that, I wasn't here" and "I was here this morning, yes. I wanted to wait till [the DON] got here, which is usually 1/2 hour after I get here to decide what to do. I didn't know." She then stated, last week she had treated residents with permethrin cream and only worn gloves stating, "I went in, put the cream on, washed my hands and went to the next one, no gown, because to tell you the truth, I wasn't convinced that what they had was scabies." 15. On 2/20/24 at 3:18 p.m., during an interview, a surveyor asked the Licensed Practical Nurse (LPN) #1, why weren't TBP carts put out last night (2/19/24) after knowledge of positive scabies scraping. LPN #1 stated, "Because I honestly didn't know for sure what they wanted us to do. All I heard was from the nurse that left, she said it	F 880			

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F 880	Continued From page 13 was positive" and "we should have done it last night, but I didn't, I really honestly did not think about it last night. I was mainly trying to get other things done. So, that was my neglect on that part, because I should have done it." 14. On 2/20/24 at 3:00 p.m., observation of rooms 114, 121 and 125 with no TBP cart and/or instructions for PPE usage at the doorways. At this time, DON stated she had asked staff to put them out. The first TBP cart was placed outside of room 125 at 3:22 p.m. 15. On review of Resident #3's medical record, a doctor's note dated 2/19/24 stated, "Resident is seen today for reportedly pruritic rash. Patient is noted to be scratching BUE and hands during visit. Patient was previously treated for scabies with permethrin 5% cream 12/27/23. SKIN: scattered pink papules on bilateral upper extremities with excoriations. Right hand thenar eminence marked with ink, burrows stained with ink after cleansing with alcohol. Skin scraping showed mite feces." Diagnosis of "Scabies - apply permethrin 5% cream topically to entire body, include web space of fingers and toes, sparing the face. Shower in 12 hours. Launder linens and clothing worn over past 4 days in hot water." 16. On review of Resident #5's medical record, a doctor's note dated 2/19/24 stated, "Resident is seen today for pruritic rash ...SKIN: papular rash greatest on LT upper extremity anterior shoulder and RT posterior shoulder. Small area on LUE with alcohol. Skin scraping showed mite feces." Diagnosis of, "Scabies - apply permethrin 5% cream topically to entire body sparing ace, include web spaces of fingers and toes. Shower	F 880			

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F 880	Continued From page 14 in 12 hours. Launder linens and clothing worn over past 4 days in hot water." 17. On review of Resident #6's medical record, a doctor's note dated 2/19/24 stated, "Resident is seen today for c/o pruritic rash ... SKIN: papular rash BUE and posterior shoulders, small area of skin marked with ink with uptake noted in burrows, skin scraping showed mite feces." Diagnosis of, "Scabies - apply permethrin 5% cream topically to entire body, sparing the face, include web spaces between fingers and toes. Shower in 12 hours. Launder linens and clothing worn in past 4 days in hot water." On 2/20/24 at approx. 4:00 p.m., during an interview with the Director of Nursing, the above concerns were confirmed, the lack of TBP and staff knowledge of how to prevent the development and transmission of infectious diseases.	F 880			