DEPARTMENT OF HEALTH AND HUMAN SERVICES
CENTERS FOR MEDICARE & MEDICAID SERVICES

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:	(X2) MULTIPLE CONSTRUCTION	(X3) DATE SURVEY COMPLETED
	205082	A. BUILDING 01 B. WING	C 02/26/2025

NAME OF PROVIDER OR SUPPLIER	STREET ADDRESS, CITY, STATE, ZIP CODE
PINNACLE HEALTH & REHAB AT SANFORD	1142 MAIN ST SANFORD, ME 04073

(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETION DATE
K 000	INITIAL COMMENTS Complaint Survey: Date: 02/26/2025 *Pinnacle Health & Rehabilation Sanford*, a Long Term Care Facility, was surveyed to the National Fire Protection Association 101 Life Safety Code 2012 Edition as reference on 42 CFR 483.90 (a-d) physical environment and is not in substantial compliance.	K 000	**K911** **Electrical Systems – Other** On 2/25/25 there was a small fire at the facility caused by the overheating of one of the light ballasts on 2nd floor wing 2. The Dir. of Environmental Services (DOES) turned off the breaker with the Sanford Fire Department (SFD) present de-energizing the specific area only (2nd floor wing 2). The light was removed and wires were nutted and taped by the DOES. There was no electrical box available at the facility at that time, therefore the wires were not placed in an electrical box. The SFD witnessed (as noted on facility security cameras) and approved the work completed by the DOES. The system was then re-energized and functioning properly. On 2/26/25 after inspection by several inspectors from the state FMO and SFD Aube Electrical was called and came to the facility on the same day and noted no issues with the work that was	
K 911 SS=D	Electrical Systems - Other CFR(s): NFPA 101 Electrical Systems - Other List in the REMARKS section any NFPA 99 Chapter 6 Electrical Systems requirements that are not addressed by the provided K-Tags, but are deficient. This information, along with the applicable Life Safety Code or NFPA standard citation, should be included on Form CMS-2567. Chapter 6 (NFPA 99) This REQUIREMENT is not met as evidenced by: Based on observation and interview the facility, failed to test the circuit prior to re-energizing the circuit in accordance with Maine Title 10 Chapter 901 subsection 8003-C Finding: Documentation review and interview during a post fire incident tour with the director of environmental services and the administrator present on 02/26/2025 from 1:30 PM to 3:00 PM identified: 1. The removal of the light and termination of wiring work was performed by non licensed	K 911		

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE — TITLE — (X6) DATE

Administrator — 4/28/25

Any deficiency statement ending with an asterisk (*) denotes a deficiency which the institution may be excused from correcting providing it is determined that other safeguards provide sufficient protection to the patients. (See instructions.) Except for nursing homes, the findings stated above are disclosable 90 days following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosable 14 days following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.

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K 911	Continued From page 1 personnel. The director of environmental services states he removed the light and capped the wires with wire nuts and re-energized the system. When interviewed he admitted he had no certification or license to perform the work. 2. The wiring was not properly terminated. Termnations shall be secured in a junction box or removed. This finding was verified by the director of environmental services and the administrator at the time of interview and observation on 02/26/2025 from 1:30 PM to 3:00 PM.	K 911	completed by the DOES. Aube installed an electrical box (see attached invoice for and photo of completed work). Electrical boxes have been purchased and will be kept in stock at the facility going forward. Pinnacle Sanford now has an agreement with Brown's Electric to perform future electrical work at the facility. (see attached email to Anne Ambler Cote from Alan Brown) Going forward Brown's Electric will be contacted by the DOES or his/her designee if/when electrical work is needed. Brown's Electric is currently in the process of replacing all lights like the one that caused the small fire with LED retrofit lights approved through Efficiency Maine this work should be completed on or before 5/9/25. **Completion Date: 5/9/25**	
K 914 SS=D	Electrical Systems - Maintenance and Testing CFR(s): NFPA 101 Electrical Systems - Maintenance and Testing Hospital-grade receptacles at patient bed locations and where deep sedation or general anesthesia is administered, are tested after initial installation, replacement or servicing. Additional testing is performed at intervals defined by documented performance data. Receptacles not listed as hospital-grade at these locations are tested at intervals not exceeding 12 months. Line isolation monitors (LIM), if installed, are tested at intervals of less than or equal to 1 month by actuating the LIM test switch per 6.3.2.6.3.6, which activates both visual and audible alarm. For LIM circuits with automated self-testing, this manual test is performed at intervals less than or equal to 12 months. LIM circuits are tested per 6.3.3.3.2 after any repair or renovation to the electric distribution system. Records are maintained of required tests and associated repairs or modifications, containing date, room or area tested, and results.	K 914		

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K 914	Continued From page 2 6.3.4 (NFPA 99) This REQUIREMENT is not met as evidenced by: Based on documentation review and interview the facility, failed to test the circuit prior to re-energizing the circuit in accordance with NFPA 70, National Electrical Code, 2011 edition, Section 225.56. Finding: Documentation review and interview during a post fire incident tour with the director and the director of environmental services and the administrator present on 02/26/2025 from 1:30 PM to 3:00 PM identified: 1. No acceptance test was performed, after the termination of the wiring from the light, to verify the integrity of all the systems. The director of environmental services stated he terminated the wiring with wire nuts and re-energized the circuit without testing. This deficient practice could pose an electrical hazard to all residents, visitors, and members of facility staff in this location. This finding was verified by the facilities director and the maintenance director at the time of interview and document review on 02/26/2025 from 1:30 PM to 3:00 PM.	K 914	**K914** **Electrical Systems – Maintenance and Testing** On 2/25/25 the wires were not terminated at the light by the DOES the wiring was wired to complete the lighting circuit to the specific area. When the electrical system to that area was re-energized, the connection was tested with a wire tester. On 2/26/25 Aube Electrical was called and came to the facility on the same day and noted no issues with the work that was completed by the DOES or any electrical hazard for residents, visitors and staff. Aube installed an electrical box (see attached invoice for and photo of completed work). Pinnacle Sanford now has an agreement with Brown's Electric to perform future electrical work at the facility. Going forward Brown's	

K914 Continued
Going forward Brown's Electric will be contacted by the DOES or his/her designee if/when electrical work is needed.
Brown's Electric is currently in the process of replacing all lights like the one that caused the small fire with LED retrofit lights approved through Efficiency Maine this work should be completed on or before 5/9/25 (see attached estimate from Brown's Electric)

Completion Date: 5/9/25

RECEIVED
APR 25 2025
BY:

Invoice

AUBE
Electrical Contractors
10 Georgetown Dr
Biddeford, Maine 04005
207 494 8156
Info@Aubeelectric.com

Date	Invoice #
3/9/2025	3272

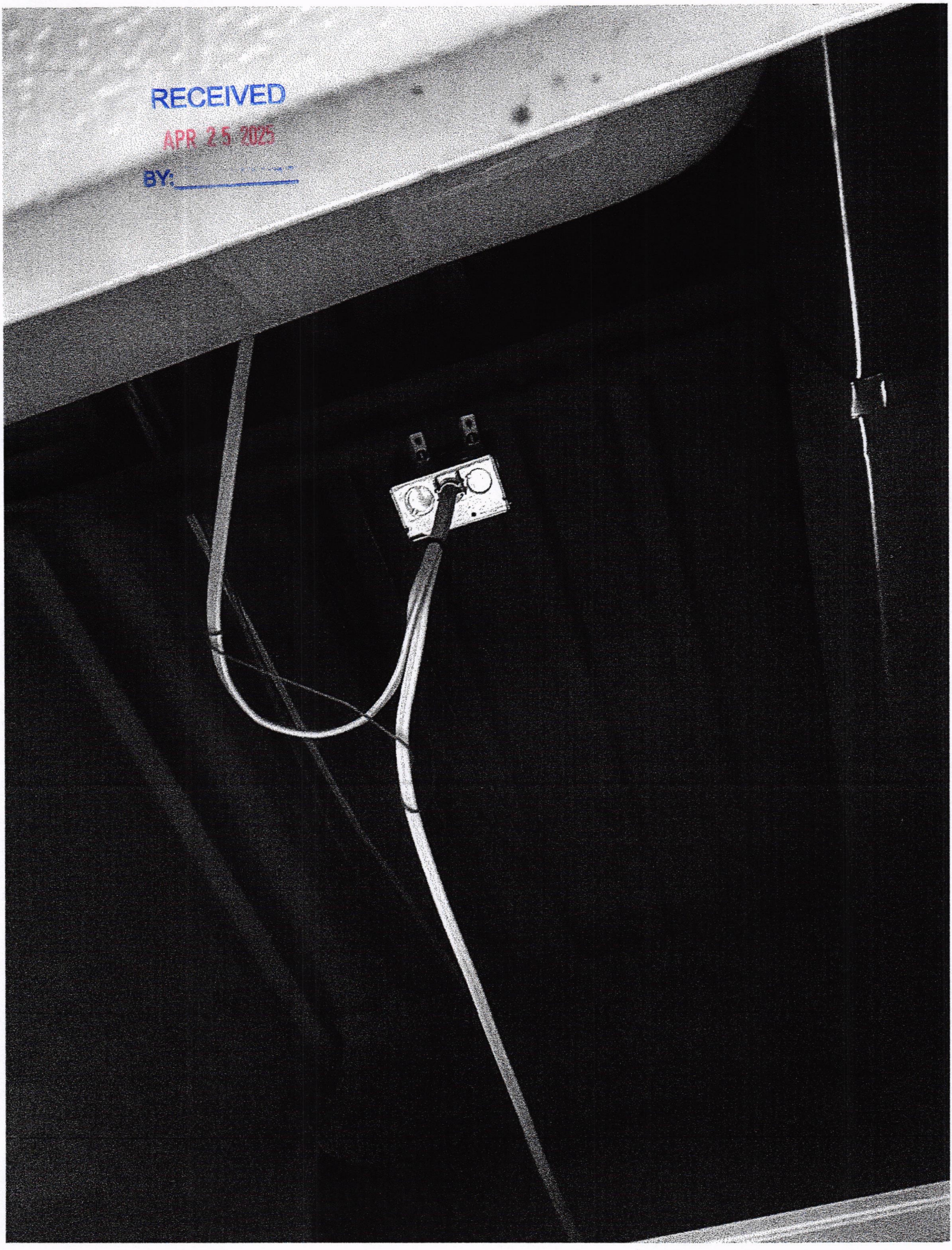
Bill To

Pinnacle Health & Rehab
1142 Main Street
Sanford, ME 04073

P.O. No.	Terms	Project
	Standard 15 Days	

Quantity	Description	Rate	Amount
	Fix Lighting Circuit to make it safe Emergency call		
2	3/8 Beam Clamp	6.7267	13.45
1	4" Junction Box	2.3427	2.34
1	4" Junction Box Cover	0.5891	0.59
1	Romex Connector	1.4796	1.48
1	Miscellaneous Materials	35.00	35.00
3	Labor Charge per Man Hour	95.00	285.00

Total $337.86


RECEIVED
APR 25 2025
BY:

Anne AmblerCote

From: Alan Brown <alanbrownelectrical@gmail.com>
Sent: Thursday, April 24, 2025 11:29 AM
To: Anne AmblerCote
Subject: Electrical services

Alan Brown Electrical Contracting Inc would be happy to take care of any of your upcoming electrical needs or projects. We are also licensed in New Hampshire if needed. Contact info Alan Brown 207-252-5430 or Ryan Brown 207-252-5432

RECEIVED
APR 25 2025
BY:

Estimate

Alan Brown Electrical Contracting Inc.

Po Box 530
Sanford, ME 04073
207-324-6154
Alanbrownelectrical@gmail.com

Date	Estimate #
3/29/2025	192

Name / Address
PINNACLE SANFORD 1142 MAIN STREET SANFORD,MAINE04073 324-2273 AAmbercote@pinnaclesanford.com Mdupuis@pinnaclesanford.com

Work currently in process shall be completed on or before 5/9/25.

Project

Description	Qty	Rate	Total
REPLACING 208 EXISTING LIGHT FIXTURES			
INSTALLING 208 2 X 2 LED TROFFERS DISPOSAL OF EXISTING LIGHT FIXTURES AND TUBES BY PINNACLE			
LED LIGHT FIXTURE		13,000.00	13,000.00
ESTIMATED EFFICIENCY MAINE REBATES		-2,000.00	-2,000.00
LABOR		9,500.00	9,500.00

It's been a pleasure working with you!

Total $20,500.00