| STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION | (X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: | (X2) MULTIPLE CONSTRUCTION | (X3) DATE SURVEY COMPLETED |
|---|---|---|---|
| | HAL060130 | A. BUILDING: _____ B. WING _____ | C 11/05/2014 |

| NAME OF PROVIDER OR SUPPLIER | STREET ADDRESS, CITY, STATE, ZIP CODE |
|---|---|
| THE CROSSINGS AT STEELE CREEK | 13600 S TRYON ST CHARLOTTE, NC 28278 |

| (X4) ID PREFIX TAG | SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION) | ID PREFIX TAG | PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY) | (X5) COMPLETE DATE |
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| D 000 | Initial Comments<br><br>The Adult Care Licensure Section and the Mecklenburg County Department of Social Services conducted an initial survey on November 4-5, 2014. | D 000 | | |
| D935 | G.S.§ 131D-4.5B(b) ACH Medication Aides; Training and Competency<br><br>G.S. § 131D-4.5B (b) Adult Care Home Medication Aides; Training and Competency Evaluation Requirements.<br><br>(b) Beginning October 1, 2013, an adult care home is prohibited from allowing staff to perform any unsupervised medication aide duties unless that individual has previously worked as a medication aide during the previous 24 months in an adult care home or successfully completed all of the following:<br>(1) A five-hour training program developed by the Department that includes training and instruction in all of the following:<br>a. The key principles of medication administration.<br>b. The federal Centers for Disease Control and Prevention guidelines on infection control and, if applicable, safe injection practices and procedures for monitoring or testing in which bleeding occurs or the potential for bleeding exists.<br>(2) A clinical skills evaluation consistent with 10A NCAC 13F .0503 and 10A NCAC 13G .0503.<br>(3) Within 60 days from the date of hire, the individual must have completed the following:<br>a. An additional 10-hour training program developed by the Department that includes training and instruction in all of the following:<br>1. The key principles of medication administration. | D935 | | |

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| D935 | Continued From page 1<br>2. The federal Centers of Disease Control and Prevention guidelines on infection control and, if applicable, safe injection practices and procedures for monitoring or testing in which bleeding occurs or the potential for bleeding exists.<br>b. An examination developed and administered by the Division of Health Service Regulation in accordance with subsection (c) of this section.<br><br>This Rule is not met as evidenced by:<br>Based on observation, record reviews and interviews, the facility failed to assure medication aide staff successfully completed the requirements for 1 of 3 sampled staff (Staff C) prior to performance of medication aide duties.<br><br>The findings are:<br><br>Observation of the morning medication pass on 11/5/14 between 8:00 am and 8:30 am revealed:<br>-Staff C was assigned to prepare and administer medications to 11 residents.<br>-Staff C prepared and administered 9 oral medications and 2 eye drop medications to a resident during the medication pass.<br><br>Review of Staff C's personnel and training records revealed:<br>-She was hired 8/19/14.<br>-She had previously worked as a medication aide in an out of state assisted living.<br>-Documentation of successfully passing the medication aide test on 11/7/01.<br>-Documentation of Medication Skills Checklist completed 9/23/14.<br>-There was no documentation Staff C completed or attended a 5, 10 or 15 hour medication aide | D935 | | |

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| D935 | Continued From page 2 | D935 | | |

training.
-There was documentation of completion of a Medication Aide Program offered by a local community college.
-Staff C was not listed on the Medication Aide registry.

Interviews with Staff C on 11/5/14 at 9:30 am and 11:20 am revealed:
-She was hired several months ago, but only began full time employment approximate 2-1/2 weeks ago.
-She was employed to work in the Special Care Unit but there have been no residents admitted as yet.
-She stated she had only been working as a medications aide for 2-1/2 weeks when she began full time employment.
-She stated she gave oral medications, eye drops and insulin injections regularly.
-She remembered taking some classes but could not be sure if she took the 5, 10 or 15 hour medication class.
-She remembered a nurse watched her pass medications.

Interview with the Resident Care Coordinator (RCC) on 11/5/14 at 11:15 am revealed:
-She was responsible for maintaining the training records.
-She scheduled new staff for required training and hiring requirements.
-She stated she received the 5 hour class medication test via email from the contract nurse and would print the test for the nurse to administer.
-She thought the nurse completed the 5 hour training but had not asked specifically for the certificate.
-She was not aware Staff C did not complete the

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| D935 | Continued From page 3<br>5 hour medication aide training prior to administering medications.<br><br>Interview with the contract nurse on 11/5/14 at 11:23 am revealed:<br>-She administered the 5 hour medication aide test to Staff C because she (the nurse) required a score of 100% before completing the medication skills checklist and observation of medication administration by staff.<br>-She stated she never conducted the 5 hour medication aide training on site or even the 10 or 15 hour classes, but all aides must go to the pharmacy office to take the training.<br>-She believed the facility's RN provided the 5, 10 and 15 hour classes for new medication aides.<br><br>Interview with the Administrator on 11/5/14 at 11:30 am revealed:<br>-He was not aware Staff C had not received the 5 hour required medication aide training.<br>-He relied on the RCC and the Business Office Manager to oversee the new hire requirements and training. | D935 | | |