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Division of Health Service Regulation

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:	(X2) MULTIPLE CONSTRUCTION	(X3) DATE SURVEY COMPLETED
	HAL060059	A. BUILDING: 01 B. WING _____	12/11/2015

NAME OF PROVIDER OR SUPPLIER	STREET ADDRESS, CITY, STATE, ZIP CODE
CUTHBERTSON VILLAGE AT ALDERSGATE	3800 SHAMROCK DRIVE CHARLOTTE, NC 28215

(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
C 000	Initial Comments Report of a Biennial Construction Survey by Ed Miller and Bob Getchell on December 10, 2015. Records indicate this facility was Licensed on November 9, 1999, serving 45 Special Care residents. Therefore the facility must meet the 1998 Rules for the Licensing of Adult Care Homes, the 1998 North Carolina State Building Code; Section 409 Institutional Occupancy - Group I, and the applicable portions of the 2006 Rules for Adult Care Homes of Seven or More Beds. Physical plant deficiencies were noted which require a plan of correction.	C 000	C101 1a. In the A Wing Housekeeping the duct penetrating the smoke barrier was equipped with a smoke damper by a qualified, licensed contractor. All required flashing and duct insulations was replaced by licensed, qualified contractor. 2-9-2016 Waiver Requested, Chris Ruder 2a. Near exit at bedroom 1D a fire extinguisher was installed . 1-1-2016 All other areas of the building were inspected by staff to ensure that all required smoke dampers, flashing, duct insulation, and fire extinguishers are in place providing adequate fire protection that protect the openings through fire rated construction. 1-15-2016 Monthly Life Safety Inspection was updated to include inspection of ducts penetrating smoke barriers to ensure the required fire protection systems are in place to protect the openings through fire -resistance-rated construction and fire extinguishers present that protects all residents, staff, and visitors. These areas will be checked on a monthly basis thru Life Safety Inspections by staff, administrator, and subsequent work orders will be initiated as necessary. 1-15-2016	
C 101	Existing Licensed Fac- No less than '71 Rules SECTION .0300 - PHYSICAL PLANT 10A NCAC 13F .0301 APPLICATION OF PHYSICAL PLANT REQUIREMENTS The physical plant requirements for each adult care home shall be applied as follows: (2) Except where otherwise specified, existing licensed facilities or portions of existing licensed facilities shall meet licensure and code requirements in effect at the time of construction, change in service or bed count, addition, renovation, or alteration; however in no case shall the requirements for any licensed facility where no addition or renovation has been made, be less than those requirements found in the 1971 "Minimum and Desired Standards and Regulations" for "Homes for the Aged and Infirm", copies of which are available at the Division of Health Service Regulation at no cost; This Rule is not met as evidenced by:	C 101	Director of Facility Services or their designee will conduct quarterly audits to ensure accuracy and effectiveness of the Cuthbertson Village Life Safety monthly life safety checklist, which includes proper inspection of all required fire protection systems that exist in openings through fire-resistance-rated construction and adequate fire extinguishers are present throughout the facility and to be monitored in future quarterly QA meetings throughout 2016. 1-15-2016 C101a 1a. In the construction area all the materials and equipment was removed from all exits A, B, and C, wings / paths of egress. 12-11-2015 2a. At the B wing exit into the construction area the carts, wheelchair, and popcorn machine were removed from the exit / path of egress. 12-11-2015 All other areas of the building were inspected by staff to ensure that all exits and paths of egress are properly maintained and free of all obstructions. 1-15-2016 Monthly Life Safety Inspection was updated to include inspecting of all exits and paths of egress to ensure these areas are properly maintained and free from all obstructions. These areas will be checked on a monthly basis thru Life Safety Inspections by staff, administrator, and subsequent work orders will be initiated as necessary. 1-15-2016	

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LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE | TITLE | (X6) DATE

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	HAL060059	A. BUILDING: 01 B. WING	12/11/2015

NAME OF PROVIDER OR SUPPLIER	STREET ADDRESS, CITY, STATE, ZIP CODE
CUTHBERTSON VILLAGE AT ALDERSGATE	3800 SHAMROCK DRIVE CHARLOTTE, NC 28216

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C 101	Continued From page 1 1. Based on observation, the Building did not meet the NC State Building Code at the time of construction or alteration, by not having adequate fire protection systems protecting the openings through fire-resistance-rated construction. This could affect all residents, staff and visitors if smoke/fire is not contained in the Fire Compartment of origin. Findings on December 10, 2015: a. A Wing Housekeeping - One of the ducts penetrating the smoke barrier was not equipped with a smoke damper, and none of the exceptions permitting this omission were identified. It appeared the penetration had been modified because approximately 3 feet of the duct insulation had been removed and half of the flashing had been removed. 2. Based on observation, the Building did not meet the NC State Building and Fire Codes at the time of Initial Licensing, by not having adequate installed fire protection equipped. This could affect all residents, staff and visitors by not having the required fire protection equipment during an emergency. Findings on December 10, 2015: a. Exit near Bedroom 1D - the maximum travel distance from this point to the nearest portable fire extinguisher had been exceeded by about ten feet,	C 101	C 165 - continued Director of Facility Services or their designee will conduct quarterly audits to ensure accuracy and effectiveness of the Cuthbertson Village Life Safety monthly life safety checklist, which includes proper inspection of all exits and paths of egress to ensure that these areas are properly maintained and free from all obstructions throughout the facility and to be monitored in future quarterly QA meetings throughout 2016. 1-15-2016 C165 1a. In construction area - Emergency lighting was installed so egress in the area was properly illuminated. 12-11-15 2a. In Mechanical room near bedroom 6D the hole in the corridor wall was sealed with fire caulk. 1-1-2016 b. Smoke barrier walls in A, B, C wings - all penetrations were properly sealed with fire caulking. 1-1-2016 c. Mechanical room 113D - The hole in the corridor wall properly sealed with fire caulking. 3a. Exit sign near bedroom 1D - The exit sign was repaired to operate on backup power. 1-4-2016 b. Exit near 4D - The exit sign was repaired to operate on back-up power. 1-4-16 c. Exit near Bedroom 11D - The exit sign was repaired to operate on back-up power. 1-4-16 d. Exit near Bedroom 19D - The exit sign was repaired to operate on back-up power. 1-4-16 e. Exit near Mechanical Room 1040D - The exit sign was repaired to operate on back-up power. 1-4-16 4a. Kitchen areas in A, B, C wings - All penetrations above the ceiling were properly sealed with fire caulk. 1-1-2016 5a. Bedroom 32D - The corridor door was repaired by a licensed qualified door contractor so the door properly 6. Bedroom 7D - The brick holding the door was removed and discarded in the trash. 1-1-2016 7. C wing Exterior Soiled Linen - The missing fire sprinkler escutcheon plate was replaced with a new plate. 1-12-2016	
C 166	Housekeeping-Maintained Free of Hazards SECTION .0300 - PHYSICAL PLANT 10A NCAC 13F .0306 HOUSEKEEPING AND FURNISHINGS (a) Adult care homes shall: (5) be maintained in an uncluttered, clean and orderly manner, free of all obstructions and	C 166		

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	HAL000059	A. BUILDING: 01 B. WING	12/11/2015

NAME OF PROVIDER OR SUPPLIER	STREET ADDRESS, CITY, STATE, ZIP CODE
CUTHBERTSON VILLAGE AT ALDERSGATE	3800 SHAMROCK DRIVE CHARLOTTE, NC 28215

(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
C 166	Continued From page 2 hazards; (e) This Rule shall apply to new and existing facilities. This Rule is not met as evidenced by: 1. Based on observation, the Building was not maintained in a safe manner by not maintaining a clear unobstructed exit path in the corridors to the outside. This would affect all residents, staff and visitors by obstructing egress during an emergency. Findings on December 11, 2015: a. Construction Area - some of the exits from A Wing, B Wing, and C Wing discharge through a construction area before getting to the outside. The path through the construction area was obstructed with equipment and material in the path of egress. Deficiency corrected before Construction Surveyors departed Site. b. B Wing - the exit into the construction area was being obstructed with carts, wheel chairs and popcorn machine.	C 166	C166 continued All other areas of the building were inspected by staff to ensure that (1) all emergency lighting in paths of egress work properly. (2,4) all penetrations are properly sealed to ensure the fire-resistance-rated integrity is maintained. (3) That all exit signs are properly maintained, tested,and in working condition. (5) to maintain all doors to ensure doors properly latch and seal to resist the passage of smoke. (6) All corridor doors are not held open by devices or objects that prevent the rapid closing of the doors. (7) All fire sprinkler escutcheon plates are installed to maintain the fire-resistance-rated construction. 1-15-2016 Monthly Life Safety Inspection was updated to include inspection of (1) Proper Emergency Lighting in all paths of egress,(2) Of all areas to ensure all penetrations are properly sealed (3) Exit signs are properly maintained and operate on backup power (4) Areas above the ceiling are checked to ensure all penetrations are sealed and ceiling fire-resistance-rated integrity is maintained. (5) All doors resist the passage of smoke by properly sealing and latching in the frame. (6) All doors are not held open by devices that could prevent the release of the door with a push or pull preventing a rapid closing and latching of the door. (7) All fire sprinkler escutcheons are in place and no openings are exposed around sprinkler heads. These areas will be checked on a monthly basis thru Life Safety Inspections by staff, administrator, and subsequent work orders will be initiated as necessary. 1-15-2016 Director of Facility Services or their designee will conduct quarterly audits to ensure accuracy and effectiveness of the Cuthbertson Village Life Safety monthly life safety checklist, which includes proper inspecting of emergency lighting, proper sealing of all penetrations, all exit signs operational, above the ceiling penetrations are properly sealed, all doors properly seal and latch, all doors are not held open to prevent the normal closing, all required sprinkler escutcheons are in place throughout the facility and to be monitored in future quarterly QA meetings throughout 2016. 1-15-2016	
C 189	Building Equipment Maintained Safe, Operating SECTION .0300 - PHYSICAL PLANT 10A NCAC 13F .0311 OTHER REQUIREMENTS (a) The building and all fire safety, electrical, mechanical, and plumbing equipment in an adult care home shall be maintained in a safe and operating condition. (k) This Rule shall apply to new and existing facilities with the exception of Paragraph (e) which shall not apply to existing facilities. This Rule is not met as evidenced by: 1. Based on observation and testing, the	C 189		

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	HAL060059	A. BUILDING: 01 B. WING _____	12/11/2015

NAME OF PROVIDER OR SUPPLIER	STREET ADDRESS, CITY, STATE, ZIP CODE
CUTHBERTSON VILLAGE AT ALDERSGATE	3800 SHAMROCK DRIVE CHARLOTTE, NC 28216

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C 189	Continued From page 3 Building was not maintained in a safe and operating condition, because the emergency lighting, which illuminates the egress pathways during power outages, did not work properly. This would affect all residents, staff and visitors if the egress pathways were not illuminated during the power outages and there was no other illumination. Findings on December 11, 2015: a. Construction Area - the egress path through the construction area did not have emergency lighting. 2. Based on observations, the Building was not maintained in a safe and operating condition, because of holes and gaps through the fire-resistance-rated wall construction invalidated its integrity. This could affect all residents, staff and visitors if smoke/fire is not contained in Room or Compartment of origin. Findings on December 11, 2015: a. Mech Room near Bedroom 5D - there was a hole in the corridor wall , b. Smoke Barrier Walls in A, B, & C wings - there were many open penetrations in these walls some appeared to be new. c. Mech Room 1113d - there was a hole in the corridor wall, 3. Based on observation, the Building was not maintained in a safe and operating condition, because the exit signs did not work properly or relay directional information properly. This would affect all residents, staff and visitors if they could not promptly find their way to an exit during an emergency. Findings on December 11, 2015: a. Exit near Bedroom 1D - the exit sign did not work on backup power when tested, b. Exit near Bedroom 4D - the exit sign did not	C 189	C 189 1a. - Bedroom 12D - The belt for the central exhaust system was replaced. The system is repaired and is now operational. 1-15-2016 1b. - Laundry in B-Wing - The belt for the central exhaust system was replaced. The system is repaired and is now operational. 1-15-2016 1c. - Bedroom 27D - The belt for the central exhaust system was replaced. The system is repaired and is now operational. 1-15-2016 1d. - Laundry in C-Wing - The belt for the central exhaust system was replaced. The system is repaired and is now operational. 1-15-2016 All other areas of the building were inspected by staff to ensure that all facility ventilation systems are operational and properly maintained. 1-15-2016 Monthly Life Safety inspection was updated to include inspection of all facility ventilation systems. These areas will be checked on a monthly basis through Life Safety inspections by staff, administrator, and subsequent work orders will be initiated as necessary. 1-15-2016 Director of Facility Services or their designee will conduct quarterly audits to ensure accuracy and effectiveness of the Cuthbertson Village Life Safety monthly life safety checklist, which includes proper inspecting of all exhaust ventilation systems throughout the facility and to be monitored in future quarterly QA meetings throughout 2016. 1-15-2016	

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C 189	Continued From page 4 work on backup power when tested, c. Exit near Bedroom 11D - the exit sign did not work on backup power when tested, d. Exit near Bedroom 1 9D - the exit sign did not work on backup power when tested, e. Exit near Mech Room 1040D - the exit sign did not work on backup power when tested, 4. Based on observations, the Building was not maintained in a safe and operating condition, because of holes and gaps through the fire-resistance-rated ceiling construction invalidated its integrity. This could affect all residents, staff and visitors if smoke/fire is not contained in Room or compartment of origin. Findings on December 11, 2015: a. Kitchen Areas in A, B & C wings - there many penetrations through the fire-resistance-rated ceiling assemble, 5. Based on observation, the Building was not maintained in a safe and operating condition, because the corridor doors did not resist the passage of smoke due to the doors not positively/automatically latching into their frame under normal closing force. This could affect all residents, staff and visitors if the doors were not latched and did not contain smoke/fire in the room of origin. Findings on December 11, 2015: a. Bedroom 32D - the corridor door does not latch because the door hits the floor and will not close when using normal closing force. 6. Based on Observation, the Building was not maintained in a safe and operating condition, because some corridor doors were held open by devices that do not release with a push or pull of the door, preventing the doors from being closed and latched rapidly. This could affect all	C 189		

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	HAL060089	A. BUILDING: 01 B. WING	12/11/2015

NAME OF PROVIDER OR SUPPLIER	STREET ADDRESS, CITY, STATE, ZIP CODE
CUTHBERTSON VILLAGE AT ALDERSGATE	3800 SHAMROCK DRIVE CHARLOTTE, NC 28210

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C 189	Continued From page 5 residents, staff and visitors by not containing smoke and fire in the room of origin. Findings on December 11, 2015: a. Bedroom 7D - the Corridor door was blocked open with a brick. 7. Based on observation, the Building was not maintained in a safe and operating condition, because the fire sprinkler escutcheon plates were impaired, exposing openings through the fire-resistance-rated construction. This could affect all residents, staff and visitors if smoke/fire is not contained in the Room or compartment of origin. Findings on December 11, 2015: a. C Wing Exterior Soiled Linen - the fire sprinkler escutcheon plate was missing.	C 189		
C 199	Exhaust Ventilation SECTION .0300 - PHYSICAL PLANT 10A NCAC 13F .0311 OTHER REQUIREMENTS (g) The spaces listed in this Paragraph shall be provided with exhaust ventilation at the rate of two cubic feet per minute per square foot. This requirement does not apply to facilities licensed before April 1, 1984, with natural ventilation in these specified spaces: (1) soiled linen storage; (2) soil utility room; (3) bathrooms and toilet rooms; (4) housekeeping closets; and (5) laundry area. (k) This Rule shall apply to new and existing facilities with the exception of Paragraph (e) which shall not apply to existing facilities. This Rule is not met as evidenced by:	C 199		

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C 199	Continued From page 8 1. Based on Observation and testing with a thin plastic sheet, the facility failed to maintain the ventilation system in proper working order. This could affect all residents, staff and visitors by preventing the exhausting of odors. Findings on December 11, 2015: a. Bedroom 12D - the exhaust ventilation system did not work, allowing a build-up of odors, b. Laundry in B Wing - the exhaust ventilation system did not work, allowing a build-up of odors, c. Bedroom 27D - the exhaust ventilation system did not work, allowing a build-up of odors, d. Laundry in C Wing - the exhaust ventilation system did not work, allowing a build-up of odors, Chief Operations Officer Aldersgate UMRC, Inc.	C 199	Chief Operations Officer Aldersgate UMRC, Inc.	