STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:	(X2) MULTIPLE CONSTRUCTION	(X3) DATE SURVEY COMPLETED
	HAL036031	A. BUILDING: _____ B. WING _____	R 12/13/2017

NAME OF PROVIDER OR SUPPLIER	STREET ADDRESS, CITY, STATE, ZIP CODE
WELLINGTON HOUSE	850 MAJESTIC COURT GASTONIA, NC 28054

(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
D 000	Initial Comments The Adult Care Licensure Section and the Gaston County Department of Social Services conducted an annual, follow-up survey, and complaint investigation on December 11-13, 2017. The complaint investigation was initiated by the Gaston County Department of Social Services on October 24, 2017.	D 000		
D 273	10A NCAC 13F .0902(b) Health Care 10A NCAC 13F .0902 Health Care (b) The facility shall assure referral and follow-up to meet the routine and acute health care needs of residents. This Rule is not met as evidenced by: Based on interviews and record reviews, the facility failed to assure a referral appointment was made for 1 of 5 sampled residents (#3) with a cardiology referral following two visits to the emergency department (ED). The findings are: Review of Resident #3's current FL2 dated 11/9/17 revealed diagnoses of Alzheimer's disease, agitation, low vitamin B12 and diet controlled diabetes. Review of Resident #3's record revealed the same diagnoses on two FL2's dated 9/14/17 and 11/9/16. Further review of Resident #3's record revealed: -A discharge summary from an ED visit dated 7/12/17 for fall, initial encounter, multiple	D 273		

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D 273	Continued From page 1	D 273		

contusions and left arm pain with discharge instructions for a referral to a local cardiologist.
-A summary from her Primary Care Physician (PCP) dated 7/13/17 for a follow-up on the 7/12/17 ED visit. Cardiovascular physical exam stated "the heart sounds are normal, there are no murmurs, rubs, clicks or gallops". (Resident #3) "states she has no medical complaints today".

Continued review of Resident #3's record revealed:
-A discharge summary from an ED visit dated 6/12/17 for viral tonsillitis with discharge instructions for a referral to a local cardiologist.
-A summary from her PCP dated 6/29/17 for a follow-up on the 6/12/17 ED visit. Cardiovascular physical exam stated "the heart sounds are normal, there are no murmurs, rubs, clicks or gallops." (Resident #3) "denies any medical issues today ...she states that she has no medical complaints".

Continued review of Resident #3's record revealed no referral documentation to a cardiologist.

Telephone interview with staff from the cardiologist office indicated on the ED service summary on 12/12/17 at 12:50pm revealed Resident #3 was not a patient of their practice.

Telephone interview with Resident #3's Power of Attorney on 12/12/17 at 10:10am revealed she had "no complaints" with the care and services Resident #3 was receiving at the facility.

Interview with the Memory Care Coordinator (MCC) on 12/12/17 at 12:45pm and 2:35pm revealed:
-She had been employed at the facility in her

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D 273	Continued From page 2	D 273		

current role since October 2017.
-It was her responsibility, as the MCC, to review hospital discharge summaries and assure any follow-up appointments were scheduled.
-She did not know the reason the cardiologist appointment was not scheduled because she was not employed at the facility at that time.

Interview with the facility's clinical support staff on 12/12/17 at 2:15pm revealed:
-She had discussed the follow-up recommendation with Resident #3's PCP.
-The PCP directed the facility to schedule an appointment with the cardiologist.
-She had just faxed the referral documentation to the cardiologist's office.

Interview with the former interim MCC on 12/12/17 at 3:30pm revealed:
-She was interim MCC from 2/24/17 through 9/29/17.
-She was unaware of the cardiology referral for Resident #3.

Interview with the transportation staff on 12/12/17 at 2:50pm revealed she had just received a telephone call from the cardiologist's office and they would call the facility "tomorrow" [12/13/17] and schedule an appointment for Resident #3.

Interview with the Executive Director on 12/12/17 at 2:55pm revealed:
-She had been the Executive Director at the facility for 3 months.
-She did not know the reason the follow-up appointment hadn't been made.
-It was the responsibility of the MCC to schedule and assure follow-up appointments were made, and to coordinate the appointments with the transportation staff.

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D 273	Continued From page 3 Interview on 12/12/17 at 3:16pm with Resident #3's Physician's Assistant revealed: -She had read the report from the hospital emergency department for June and July that included a follow up with a cardiologist in the report. -However, she did not remember Resident #3 being referred to a cardiologist. -"I must have missed that piece." -She was not sure how detrimental the cardiologist appointment was, as she was not aware of the reason for the cardiologist appointment.	D 273		