

Division of Health Service Regulation

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: HAL016018	(X2) MULTIPLE CONSTRUCTION A. BUILDING: 01 B. WING: _____	(X3) DATE SURVEY COMPLETED 02/15/2018
NAME OF PROVIDER OR SUPPLIER CARTERET HOUSE		STREET ADDRESS, CITY, STATE, ZIP CODE 3020 MARKET STREET NEWPORT, NC 28570		
(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
C 000	Initial Comments Report of a Construction Section Biennial Survey by Ed Miller and Suzanna Fay, conducted on February 14, 2018. Records indicate this facility was first licensed on November 16, 1994 for Sixty (60) Beds. Based on this information, the facility is required to meet the 1991 Minimum and Desired Standards and Regulations for the Licensing of Adult Care Homes; applicable portions of the 2005 Licensing of Adult Care Homes of Seven or More Beds; and the 1991 North Carolina State Building Code, Section 514.1- Institutional (I) Occupancy- Unrestrained. Deficiencies were cited that require a Plan of Correction.	C 000		
C 101	Existing Licensed Fac- No less than '71 Rules SECTION .0300 - PHYSICAL PLANT 10A NCAC 13F .0301 APPLICATION OF PHYSICAL PLANT REQUIREMENTS The physical plant requirements for each adult care home shall be applied as follows: (2) Except where otherwise specified, existing licensed facilities or portions of existing licensed facilities shall meet licensure and code requirements in effect at the time of construction, change in service or bed count, addition, renovation, or alteration; however in no case shall the requirements for any licensed facility where no addition or renovation has been made, be less than those requirements found in the 1971 "Minimum and Desired Standards and Regulations" for "Homes for the Aged and Infirm", copies of which are available at the Division of Health Service Regulation at no cost;	C 101		

Division of Health Service Regulation

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

[Signature] 3/29/18

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C 101	Continued From page 1 This Rule is not met as evidenced by: 1. Based on observation, and interview with Administrator, the facility, which was equipped with Special Locking (magnetic locks) on the exit doors, failed to meet the requirements as defined by the NC State Building Code, which permits the installation of Special Locking on exit doors of buildings that are protected throughout, by an approved supervised automatic fire detection system or an automatic sprinkler system. In buildings that are not protected throughout, there could be a dangerous delay in detecting the start of a fire. Findings on October 19, 2017: a. 100 Hall, 200 Hall, & 300 Hall Common Area Closets - there are no supervised automatic fire detection system or an automatic sprinkler system, in these closets. 2. Based on observation and interview with Administrator, the facility failed to meet the Code requirements in effect at the time of construction or alterations by not having all of the required components to have doors equipped with Special Locking Arrangements. This could affect all occupants who would need to evacuate through the door(s). Findings on February 14, 2018: a. The "Special Locking System" has a components location map but the map does not have an informational wiring diagram included.	C 101	a) Vendor working on this with completion date of May 15, 2018. Request for written waiver sent.	
C 133	Bathrooms-Hand Grips SECTION .0300 - PHYSICAL PLANT 10A NCAC 13F .0305 PHYSICAL ENVIRONMENT (e) The requirements for bathrooms and toilet	C 133	New schematic diagrams acquired and hung by the fire alarm annunciators which include informational wiring diagram. Completed 3/2/18	

Debra J. Jelle, EP 3/29/18

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C 133	Continued From page 2 rooms are: (6) Hand grips shall be installed at all commodes, tubs and showers used by or accessible to residents; This Rule is not met as evidenced by: 1. Based on observation, the facility failed to provide all commodes accessible to residents with hand grips. This deficiency affects all residents who use these fixtures by not providing increased safety, controlled against instability/balance, and maneuverability at the fixtures. Findings on February 14, 2018: a. Bedroom 203 Bathroom - the commode has a loose hand grips (grab bar).	C 133	Hand grip/grab bar has been tightened. Completed 2/15/18 Staff/Maintenance will report any unsafe conditions.	
C 150	Corridors-Free of equipment and Obstructions SECTION .0300 - PHYSICAL PLANT 10A NCAC 13F .0305 PHYSICAL ENVIRONMENT (g) The requirements for corridors are: (4) Corridors shall be free of all equipment and other obstructions. This Rule is not met as evidenced by: 1. Based on observation, corridors are not free of obstructions. This would affect all residents, staff, and visitors by slowing or obstructing egress during an emergency. Findings on February 14, 2018: a. Exit near Bedroom 301- a large sofa was obstructing the required six feet width of the corridor. Deficiency corrected before Construction Surveyors departed site.	C 150	Sofa immediately moved during survey. Completed 2/15/18 Furniture will not be placed where six feet width is not available.	
C 166	Housekeeping-Maintained Free of Hazards	C 166		

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STATE FORM

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FYHI21

If continuation sheet 3 of 8

Dolce L. Ladd, ESQ 3/29/18

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C 166	Continued From page 3 SECTION .0300 - PHYSICAL PLANT 10A NCAC 13F .0306 HOUSEKEEPING AND FURNISHINGS (a) Adult care homes shall: (5) be maintained in an uncluttered, clean and orderly manner, free of all obstructions and hazards; (e) This Rule shall apply to new and existing facilities. This Rule is not met as evidenced by: 1. Based on Observation, the Building was not maintained free of hazards, if oxygen cylinders fall, breaking their valves, propelling the cylinder, and turning it into a dangerous projectile. Findings on February 14, 2018: a. Bedroom 310 - one portable medical oxygen cylinder is stored standing up not secured. b. 300 hall Oxygen Closet - one portable medical oxygen cylinder is stored standing up not secured. 2. Based on observation, the Building plumbing equipment was not maintained in a safe manner. Findings on February 14, 2018: a. Dining Room - the ice machine drain was piped directly onto the floor drain, without the required air gap, resulting in the potential for the drain line to clog and contaminate the ice due to backflow.	C 166		
			All oxygen cylinders in building have been secured. Completed 2/15/18 Staff have been retrained on proper oxygen storage.	
			Ice machine drain pipe repaired with proper required air gap. Completed 3/6/18	
C 189	Building Equipment Maintained Safe, Operating SECTION .0300 - PHYSICAL PLANT 10A NCAC 13F .0311 OTHER REQUIREMENTS (a) The building and all fire safety, electrical, mechanical, and plumbing equipment in an adult care home shall be maintained in a safe and	C 189		

Done & Done 1120 3/29/18

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C 189	Continued From page 4 operating condition. (k) This Rule shall apply to new and existing facilities with the exception of Paragraph (e) which shall not apply to existing facilities. This Rule is not met as evidenced by: 1. Based on observations, the Building fire safety was not maintained in a safe and operating condition. This could expose all to fire/smoke if not contained in Room of origin. Findings on February 14, 2018: a. Copy Room - there is a penetration sealed with orange foam. This orange foam is not approved for penetrations through fire-resistance-rated construction. b. TV Room - there is a gap around a cable not firestopped as it penetrates the fire-resistance-rated ceiling assembly. c. Main Electrical Room - there is two open-ended sleeves with cable bundles not firestopped as they penetrate the fire-resistance-rated ceiling assembly. 2. Based on observation, the building's emergency equipment was not maintained in a safe and operating condition. This would affect all if they could not promptly find their way to an exit during an emergency. Findings on February 14, 2018: a. Right Side Fenced-in Courtyard - the exit sign the gate did not illuminate on backup power when tested and the unit's surface had greatly deteriorated with gap appearing. 3. Based on observation, the Building was not maintained in a safe and operating condition, because the corridor doors do not resist the passage of smoke. Corridor door must positively/automatically latch into their frame	C 189			
			All areas noted have been sealed/resealed with approved fire resistant sealer. Completed 3/6/18 Maintenance has been educated on proper fire caulk sealant.		
			Right Side Fenced-in Courtyard Exit Sign has been replaced with new Exit Sign. Completed 3/6/18		

Dea J. Webb, MD 3/29/18

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C 189	Continued From page 5 under normal closing force. This could affect all residents, staff, and visitors if the doors did not latch to contain smoke/fire in the room of origin. Findings on February 14, 2018: a. Great Room - the inactive leaf of the corridor doors is equipped with a manual flush bolt which will not automatically latch into its frame when both leafs close. b. Dining Room Both Corridor Entrances- the inactive leaf of the corridor doors is equipped with a manual flush bolt which will not automatically latch into its frame when both leafs close. c. Dining Room Both Corridor Entrances - there is a gap of about 1/2 inch between leafs. 4. Based on observation, the Facility failed to maintain the electrical system in a safe and operating condition. Findings on February 14, 2018: a. Employee Lounge - a table is stored in front of the electrical panels limiting the required 36-inches minimum clear working space. b. Right Side Fenced-in Courtyard - the ground-fault circuit-interrupter (GFCI) electrical power receptacle did not trip with a push of the test button and when tested with a circuit tester.	C 189	a+b) Automatic flush bolts have been ordered by vendor with installation to be completed by 4/15/18. c) Vendor to install weather stripping to repair gap. Completion date is 4/15/16. Waiver has been requested on both. Table has been removed from in front of the electrical panel. Staff have been informed to keep table 36" from wall. Sign has been posted. Completed 2/16/18 Right Side Fenced-in Courtyard GFCI has been replaced with a new GFCI & operates correctly. Completed 3/6/18	
C 191	Unvented & Portable Elec. Heaters Prohibited SECTION .0300 - PHYSICAL PLANT 10A NCAC 13F .0311 OTHER REQUIREMENTS (b) There shall be a heating system sufficient to maintain 75 degrees F (24 degrees C) under winter design conditions. In addition, the following shall apply to heaters and cooking appliances. (2) Unvented fuel burning room heaters and portable electric heaters are prohibited.	C 191		

Delia J. Jelle, ID 3/29/18

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C 191	Continued From page 6 (k) This Rule shall apply to new and existing facilities with the exception of Paragraph (e) which shall not apply to existing facilities. This Rule is not met as evidenced by: 1. Based on Observation, the facility failed to prevent the use of portable electric heaters in an Adult Care Home. This could affect residents, staff, and visitors if heater was the ignition source of a fire. The danger increases if used by resident or combustible material were near. Findings on February 14, 2018: a. Executive Director Office - a portable electric heater was found in this room. b. Riser Room - two portable electric heater were found in this room.	C 191	All portable electric heaters have been removed from the building. Completed 2/16/18 No electric heaters will be used in the building.	
C 199	Exhaust Ventilation SECTION .0300 - PHYSICAL PLANT 10A NCAC 13F .0311 OTHER REQUIREMENTS (g) The spaces listed in this Paragraph shall be provided with exhaust ventilation at the rate of two cubic feet per minute per square foot. This requirement does not apply to facilities licensed before April 1, 1984, with natural ventilation in these specified spaces: (1) soiled linen storage; (2) soil utility room; (3) bathrooms and toilet rooms; (4) housekeeping closets; and (5) laundry area. (k) This Rule shall apply to new and existing facilities with the exception of Paragraph (e) which shall not apply to existing facilities. This Rule is not met as evidenced by: 1. Based on Observation, the facility failed to	C 199		

Debra J. Jablecki RB/29/18

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C 199	Continued From page 7 provide ventilation in areas where odors are generated or required. This could affect all residents, staff and visitors by subjecting them to odors. Findings on February 14, 2018: a. Kitchen Housekeeping Closet - there was no exhaust ventilation system and odors are present. 2. Based on observation, the Facility failed to maintain the Ventilation System in a safe and operating condition. Findings on February 14, 2018: a. Both Guest Restrooms - the exhaust fan was not running and there is odor. b. Bedroom 309 Bathroom - the exhaust fan was not running and there is odor. c. Kitchen Restroom - the exhaust fan was not running and there is odor.	C 199	a) Exhaust fan has been installed in Kitchen Housekeeping Closet Completed 3/6/18 a) Guest Restrooms exhaust fan is repaired Completed 3/6/18 b) Bedroom 309 Bathroom Exhaust Fan is repaired. Completed 3/6/18 c) Kitchen Restroom exhaust fan is repaired. Completed 3/6/18 All exhaust vents will routinely be inspected by Maintenance.	

Delva J. Jalelo, 3/29/18