Division of Health Service Regulation

| STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION | (X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: | (X2) MULTIPLE CONSTRUCTION | (X3) DATE SURVEY COMPLETED |
|---|---|---|---|
| | HAL064033 | A. BUILDING: _____ B. WING _____ | 03/14/2025 |

| NAME OF PROVIDER OR SUPPLIER | STREET ADDRESS, CITY, STATE, ZIP CODE |
|---|---|
| SPRING ARBOR OF ROCKY MOUNT | 1251 S. WINSTEAD AVENUE ROCKY MOUNT, NC 27804 |

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| D 000 | Initial Comments<br><br>The Adult Care Licensure Section conducted an annual survey on 03/12/25 to 03/14/25. | D 000 | - Dietary staff members will plate all food @ SCU during all 3 meals | 3/14/25 |
| D 195 | 10A NCAC 13F .0608 (c-f) Staffing for Facilities With A Census Of 21<br><br>10A NCAC 13F .0608 Staffing for Facilities With A Census Of 21 Or More Residents<br><br>(c) The aide shall provide personal care services and supervision needed by the residents.<br>(d) Aides shall not provide housekeeping duties except:<br>(1) Between the hours of 7:00 a.m. to 9:00 p.m.:<br>(A) to prevent an accident or injury;<br>(B) when occasionally attending to an individual resident housekeeping need; and<br>(C) when the number of aides on duty exceeds the minimum required by Paragraph (a) of this Rule.<br>(2) Between the hours of 9:00 p.m. to 7:00 a.m., as long as the housekeeping duties do not:<br>(A) hinder the aide's care of residents or immediate response to resident calls;<br>(B) do not disrupt the residents' normal lifestyles and sleeping patterns; and<br>(C) do not take the aide out of view of where the residents are as the aide shall be prepared to care for the residents since that remains his or her primary duty.<br>(e) Aides shall not be assigned food service duties except when providing assistance to individual residents who need help with eating and carrying plates, trays, or beverages to residents.<br>(f) In addition to the staffing required for management and aide duties, there shall be additional staff to perform housekeeping and food service duties. | D 195 | - ED In-service with Dietary Staff on monitoring log @ Cottage<br>- Monitor log added in SCU Kitchen, Dietary staff to initial log @ each meal that they have plated food, this will be monitored for 3 months, end date 7.31.25<br>- FSD will do weekly checks to ensure compliance of monitor logs for 3 months (7.31.25)<br>- FSD will bring completed monthly logs to ED to also ensure compliance of log + file for 3 months (7.31.25)<br>- FSD will ensure a new monitor log is updated each month with new log for 3 months. (7.31.25) | 4/9/25<br>4/9/25<br>4/9/25<br>4/9/25 |

Division of Health Service Regulation
LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE — TITLE: ED — (X6) DATE: 4/9/25

STATE FORM 6899 R67811 If continuation sheet 1 of 7

Reviewed & Acknowledged
-Wendy Wiggs 04/28/25

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| D 195 | Continued From page 1<br><br>Note: The following chart illustrates the required aide, supervisory and management staffing requirements for each eight-hour shift in facilities with a census of 21 or more residents according to Rules .0602, .0603, .0604, .0608, and .0609 of this Section.<br><br>Bed Count Position Type First Shift Second Shift Third Shift<br>21 - 30 Aide 16 16 8<br>Supervisor Not Required Not Required Not Required<br>Administrator In the building, or within 500 feet and immediately available.<br>31-40 Aide 16 16 16<br>Supervisor 8* 8* In the building, or within 500 feet and immediately available.**<br>Administrator On call<br>41-50 Aide 20 20 16<br>Supervisor 8* 8* In the building, or within 500 feet and immediately available.**<br>Administrator On call<br>51-60 Aide 24 24 16<br>Supervisor 8* 8* In the building, or within 500 feet and immediately available.**<br>Administrator On call<br>61-70 Aide 28 28 24<br>Supervisor 8* 8* 4 hours within the facility/4 hours within 500 feet and immediately available.**<br>Administrator On call<br>71-80 Aide 32 32 24<br>Supervisor 8 8 4 hours within the facility/4 hours within 500 feet and immediately available.**<br>Administrator On call<br>81-90 Aide 36 36 24 | D 195 | | |

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| D 195 | Continued From page 2<br>Supervisor 8 8 4 hours within the facility/4 hours within 500 feet and immediately available.**<br>Administrator 5 days/week: Minimum of 40 hours. When not in facility, on call.<br>91-100 Aide 40 40 32<br>Supervisor 8 8 8**<br>Administrator 5 days/week: Minimum of 40 hours. When not in facility, on call.<br>101-110 Aide 44 44 32<br>Supervisor 8 8 8**<br>Administrator 5 days/week: Minimum of 40 hours. When not in facility, on call.<br>111-120 Aide 48 48 32<br>Supervisor 8 8 8**<br>Administrator 5 days/week: Minimum of 40 hours. When not in facility, on call.<br>121-130 Aide 52 52 40<br>Supervisor 8 8 8<br>Administrator 5 days/week: Minimum of 40 hours. When not in facility, on call.<br>131-140 Aide 56 56 40<br>Supervisor 8 8 8<br>Administrator 5 days/week: Minimum of 40 hours. When not in facility, on call<br>141-150 Aide 60 60 40<br>Supervisor 8 8 8<br>Administrator 5 days/week: Minimum of 40 hours. When not in facility, on call.<br>151-160 Aide 64 64 48<br>Supervisor 16 16 8<br>Administrator 5 days/week: Minimum of 40 hours. When not in facility, on call.<br>161-170 Aide 68 68 48<br>Supervisor 16 16 8<br>Administrator 5 days/week: Minimum of 40 hours. When not in facility, on call.<br>171-180 Aide 72 72 48<br>Supervisor 16 16 8 | D 195 | | |

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| D 195 | Continued From page 4<br><br>Review of the special care unit census on 03/13/25 revealed the census was 18 residents.<br><br>Observation of the kitchen area on the special care unit (SCU) on 03/13/25 at 12:03pm revealed:<br>-The was a mini kitchen equipped with two refrigerators, an industrial dishwasher, food preparatory table, microwave and a two compartment sink.<br>-There was a heated protected food container placed on a food cart.<br><br>Observation of the lunch meal on 03/13/25 at 12:12pm to 12:30pm revealed:<br>-The medication aide (MA) removed the serving containers and placed the containers on the serving table.<br>-The MA plated the food, and the personal care aide (PCA) served the food to the residents.<br>-Although the SCU staff coached the residents to eat their meals, no feeding assistance was provided.<br><br>Observation of the breakfast meal on 03/14/25 at 8:06am to 8:23am revealed:<br>-There was a PCA plating and serving the breakfast meal to the residents.<br>-Although the SCU staff coached the residents to eat their meals, no feeding assistance was provided.<br><br>Observation of PCA on the SCU on 03/14/25 at 8:14am revealed:<br>-The PCA who plated and served the residents their breakfast meal stacked the dishwasher with the food trays and other dishes.<br>-The PCA also maintained the dining room with monitoring the residents. | D 195 | | |

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| D 195 | Continued From page 6<br>and serve the food to the residents.<br>-He last plated food for the SCU residents about two weeks ago (no specific date was provided).<br><br>Interview with the Dietary Manager on 03/13/25 at 12:31pm revealed:<br>-The SCU staff always plated the food for the residents.<br>-The dietary staff prepared, plated and placed all of the therapeutic meals in the heated container prior to transporting to the SCU.<br>-The dietary staff was only responsible for preparing, packing and transporting the food to the SCU.<br>-The dietary staff had never plated the food for the SCU residents.<br><br>Interview with the Executive Director (ED) on 03/14/25 at 8:41am revealed:<br>-She supervised the dietary manager.<br>-The dietary staff was not short staff.<br>-The dietary staff were responsible for preparing the meals for the SCU residents in the main kitchen and only transported for food to the SCU kitchen.<br>-The PCAs were responsible for plating and serving the meals to the SCU residents.<br>-All of the SCU staff have completed an inhouse food service training. | D 195 | | |