

**BEFORE THE TENNESSEE BOARD
FOR LICENSING HEALTH CARE FACILITIES**

IN THE MATTER OF:

**Carrick Glen Senior Living Facility
100 Carrick Court
Mount Juliet, TN 37122**

License No. 00000347

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CONSENT ORDER

This matter came to be heard before the Tennessee Board for Licensing Health Care Facilities (hereinafter "the Board") on the 8th day of February, 2017, pursuant to the request of the Tennessee Department of Health, by and through the Office of General Counsel, and **Carrick Glen Senior Living Facility** (hereinafter "Respondent") that the Board adopt this Consent Order, the terms of which have been agreed upon by the parties, as signified by their signatures below.

Respondent, by signature to this Consent Order, waives the right to a contested case hearing and any and all rights to judicial review of this matter.

Respondent agrees that presentation to and consideration of this Consent Order by the Board for ratification and all matters divulged during that process shall not constitute unfair disclosure such that the Board or any of its members shall be prejudiced to the extent that requires their disqualification from hearing this matter should the Consent Order not be ratified. Likewise, all matters, admissions, and statements disclosed or exchanged during the attempted ratification process shall not be used against Respondent in any subsequent proceeding unless independently entered into evidence or introduced as admissions.

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JURISDICTION

The Board has the power to license and regulate hospitals, recuperation centers, nursing homes, homes for the aged, residential HIV supportive living facilities, assisted-care living facilities, home care organizations, residential hospices, birthing centers, prescribed child care centers, renal dialysis clinics, ambulatory surgical treatment centers, outpatient diagnostic centers, adult care homes, and traumatic brain injury residential homes. Tenn. Code Ann. § 68-11-202. Further, TENN. CODE ANN. § 68-11-202(b)(1)(A) gives the Department of Health ("Department") the authority to conduct reviews of assisted-care living facilities to determine compliance with fire and life safety code regulations promulgated by the Board.

Tenn. Code Ann. § 68-11-210 provides that the Department shall conduct on-site inspections and investigations as may be necessary to safeguard and ensure at all times, the public's health, safety, and welfare. The Board has the authority to suspend or revoke the license of any facility licensed under Tenn. Code Ann. § 68-11-201 *et. seq.* The Board may also place a facility on probation. Tenn. Code Ann. § 68-11-207(f)(2). If the Board determines during or at the end of the probation that the facility is not taking steps to correct non-compliance or otherwise not responding in good faith pursuant to the plan of correction, the board may take any additional action as authorized by law. Tenn. Code Ann. 68-11-207(f)(8).

The Board is also authorized to establish a system for assessing civil monetary penalties for assisted-care living facilities, adult care homes and traumatic brain injury residential homes that are in serious violation of state laws and regulations, resulting in endangerment to the health, safety and welfare of residents. Tenn. Code Ann. § 68-11-213(i)(2) and Tenn. Comp. R. & Reg. 1200-08-25-.05(4),(5).

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Upon a finding by the Board that an assisted-care living facility has violated any provision of the Health Facilities and Resources Act, Part 2 – Regulation of Health and Related Facilities or the rules promulgated pursuant thereto, action may be taken, upon proper notice to the licensee, to impose a civil penalty, deny, suspend, or revoke its license. Tenn. Comp. R. & Reg. 1200-08-25-.05(4). The Board has established by rule a schedule designating the minimum and maximum civil penalties which may be assessed. Tenn. Code Ann. § 68-11-213(i)(2) and Tenn. Comp. R. & Reg. 1200-08-25-.05(4),(5)

Proceedings for disciplinary action against a facility are conducted in accordance with the Tennessee Administrative Procedures Act, Title 4, Chapter 5, of Tenn. Code Ann. Tenn. Comp. R. & Regs. 1200-08-25-.05(7). A Respondent in a disciplinary action is entitled to be represented by legal counsel, to personally appear before the Board, to present witnesses, to have subpoenas issued and to receive thirty (30) days' notice of the charges before being required to appear for a hearing. A Respondent who cannot afford legal counsel may be eligible for free or low-cost counsel. Tenn. Code Ann. § 4-5-101, *et seq.*

STIPULATIONS OF FACT

1. At all times pertinent hereto, Carrick Glen Senior Living Facility, 100 Carrick Court, Mt. Juliet, TN 37122 has been licensed as an Assisted-Care Living Facility by the Board, having been issued license number 00000347 on June 27, 2011. Respondent has an active license with an expiration date of January 1, 2018.
2. On or about December 2, 2016, Department surveyors conducted complaint survey on the Respondent facility. During this survey, the surveyors observed serious violations of state laws and regulations resulting in endangerment to the health, safety and welfare of

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residents.

3. From approximately November 18, 2016 through December 2, 2016, Resident #1 made several attempts to elope from the facility.
4. During this timeframe, Resident #1's dementia was worsening and Resident #1 was observed constantly wandering. Respondent does not have a secured unit for dementia residents.
5. On November 18, 2016, Resident #1 was able to elope from the facility twice and was found in the main road in front of the facility.
6. On November 24, 2016, Resident #1 left the building and got into the car of another resident's family member. The facility did not know she was gone until the family from the car brought her back to the facility.
7. Respondent failed to provide a secure environment and to ensure the safety of Resident #1.
8. Respondent failed to maintain daily awareness as to Resident #1's whereabouts.
9. Respondent failed to transfer Resident #1 to a higher level of care after the Administrator determined that the facility could not safely and effectively meet Resident #1's needs.

GROUND FOR DISCIPLINE

The facts stated in the Stipulations of Fact section, *supra*, are sufficient to establish that grounds for the discipline of Respondent's Assisted-Care Living Facility license exist. Specifically, Respondent has violated the following statutes and/or rules, for which disciplinary action by the Board is authorized.

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10. The facts stated in paragraphs three (3) through nine (9) are sufficient to establish that Respondent has violated the provisions of Rule 1200-08-25-.07(a)(2) [LIFE SAFETY], the relevant portion of which reads as follows:

(7) An ACLF shall provide personal services as follows:

(a) Each ACLF shall provide each resident with at least the following personal services:

(2) Safety when in the ACLF.

11. The facts stated in paragraphs three (3) through nine (9) are sufficient to establish that Respondent has violated the provisions of Rule 1200-08-25-.07(a)(3) [SERVICES PROVIDED], the relevant portion of which reads as follows:

(7) An ACLF shall provide personal services as follows:

(a) Each ACLF shall provide each resident with at least the following personal services:

(3) Daily awareness of the individual's whereabouts.

12. The facts stated in paragraphs three (3) through nine (9) are sufficient to establish that Respondent has violated the provisions of Rules 1200-08-25-.08(1)(f) [ADMISSIONS, DISCHARGES, AND TRANSFERS], the relevant portion of which reads as follows:

(1) An ACLF shall not admit or permit the continued stay of any ACLF resident who has any of the following conditions:

(f) Has needs that cannot be safely or effectively met in the ACLF.

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ORDER

NOW THEREFORE, Respondent, for the purpose of avoiding further administrative action with respect to this cause, agrees to the following:

13. The assessment of two (2) civil monetary penalties in the amount of five hundred dollars (\$500.00) each and one (1) civil monetary penalty in the amount of one thousand dollars (\$1,000.00) for a total amount of two thousand dollars (\$2,000.00).

Payment shall be submitted to the following address within thirty (30) days of the effective date of this Order:

**Tennessee Department of Health
Division of Health Care Facilities
Attn: Eddie J. Stewart
665 Mainstream Drive, Second Floor
Nashville, Tennessee 37243**

14. Upon ratification by the Board, the listing of the public discipline, including deficiencies and civil penalties on the Disciplinary Action Report pursuant to T.C.A. § 68-1-114.

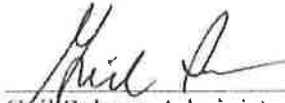
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Upon the agreement of the parties, this **CONSENT ORDER** is approved as a **FINAL ORDER** by a majority of a quorum of the Tennessee Board for Licensing Health Care Facilities at a public meeting of the Board and signed this 8th day of February, 2017.

ACCORDINGLY, IT IS ORDERED that the agreement of the parties does hereby become the Final Order of the Board.


Chairperson
Board for Licensing Health Care Facilities

AGREED TO:


Gail Salaun, Administrator
Alexian Village of Tennessee **CARRICK GLEN**
Respondent **SENIOR LIVING**

1/5/17
Date


Caroline R. Tippens (BRN # 030375)
Assistant General Counsel
Department of Health
665 Main Stream Dr., 2nd Floor
Nashville, Tennessee 37243
(615) 741-1611

2-8-17
Date

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CERTIFICATE OF SERVICE

A true and exact copy of this Consent Order is being served upon Respondent, Carrick Glen Senior Living through Gail Salaun, Administrator, 100 Carrick Court, Mount Juliet, TN 37122, by delivering same in the United States mail, first class, with sufficient postage thereon to reach its destination.

This 8th day of February, 2017.


Caroline Tappan
Assistant General Counsel

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